

# Incoherent Dicke model - new type of phase transition

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# Motivation 1

- ◆ *Deep strong coupling*  $\rightarrow$  Rabi ground state changes qualitatively.
- ◆ *Deep strong coupling*  $\rightarrow$  Dicke ‘superradiant’ phase transition.
- ◆ Hard to reach, classical Dicke

$$\hat{H} = \kappa \hat{S}_z + \omega \hat{S}_x$$

Not critical, not even very exciting!

- ◆ ‘Incoherent Dicke model’

$$\partial \hat{\rho} = i \left[ \hat{\rho}, \omega \hat{S}_x \right] + \kappa \left( 2 \hat{S}^- \hat{\rho} \hat{S}^+ - \hat{S}^+ \hat{S}^- \hat{\rho} - \hat{\rho} \hat{S}^+ \hat{S}^- \right)$$

It’s getting interesting....

# Motivation 2

## ◆ Equilibrium phase transitions (continuous):

- **Universal** - few critical exponents determine the physics (microscopic details irrelevant).
- **Spontaneous symmetry breaking** - in one phase the state does not possess the same symmetry as the full Hamiltonian.
- **Excitations** - energy gap closes at the critical point. Excitations in 'symmetry broken phase' either gapped (*Higgs*) or continuous (*Goldstone*).
- **Mermin-Wagner theorem** - the type of symmetry + the dimensionality determine which type of transition that is allowed.

How do the above results translate to phase transitions in open quantum systems?



# Outlook

## 1. (Equilibrium) Quantum phase transitions

- Symmetry breaking.
- Scale invariance and universality.

## 2. Open quantum phase transitions

## 3. A new type of phase transition

## 4. Prospects



# Outlook

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# Phase transitions

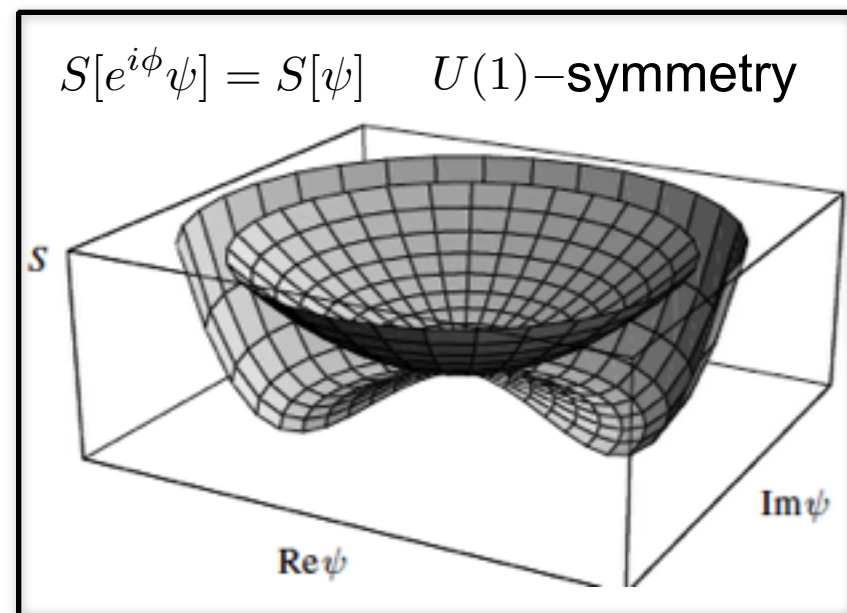
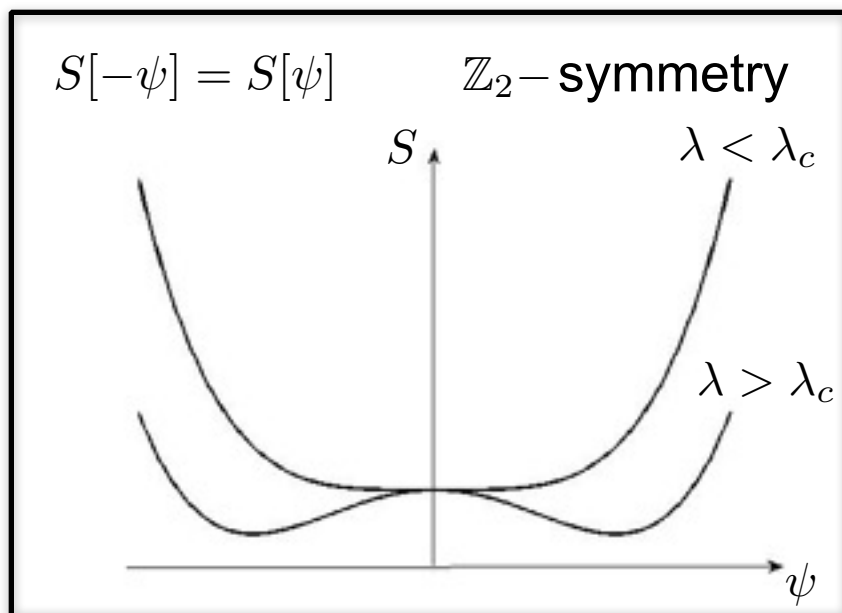
## *Phase transition*

- ◆ Action  $S[\bar{\psi}, \psi]$ , giving partition function

$$\mathcal{Z} = \int D(\bar{\psi}, \psi) e^{-S[\bar{\psi}, \psi]}$$

Mean-field solution: minimizing the action for *order parameter*  $\psi$ .

- ◆ Symmetries  $S[g\bar{\psi}, g\psi] = S[\bar{\psi}, \psi]$ .



# Phase transitions

## *2nd order phase transition*

- ◆ *Continuous* phase transition.
- ◆ Exists a symmetry  $[\hat{U}, \hat{H}] = 0$ .
- ◆ Quantum mechanics:  $\hat{U}$  and  $\hat{H}$  common eigenbasis - energy eigenstates well defined symmetry.
- ◆ Thermodynamic limit: *spontaneous symmetry breaking* - ground state  $|\psi_0(\lambda)\rangle$  does not have a defined symmetry!
- ◆ “Potential barrier” becomes infinite  $\rightarrow$  degeneracy.
- ◆ If  $\hat{U}$  continuous  $\rightarrow$  Goldstone (gapless) excitations.  
 $\hat{U}$  discontinuous  $\rightarrow$  Higgs (gapped) excitations.



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# Phase transitions

## *Phase transition (2nd) - scale invariance*

At the critical point  $\lambda_c$

The following Ising model configurations range from 2048x2048 sites to 131072x131072 sites.

Can you tell which is which?

$$H_{class} = \sum_{\langle ij \rangle} s_i s_j - h \sum_i s_i$$

System the same independent of “zooming” - length scale diverges!!

# Phase transitions

## *Phase transition (2nd) - universality*

- ◆ Systematic method (*renormalization group*) - eliminates short length scales ('high energies').
- ◆ Effective low energy model - microscopic details irrelevant.
- ◆ Models belong to different 'classes' - universality (depend on macroscopic properties like symmetries).
- ◆ Critical regime:

$$\xi \propto |\lambda - \lambda_c|^{-\nu}, \quad \text{Characteristic length}$$

$$\tau \propto |\lambda - \lambda_c|^{-\delta}, \quad \text{Characteristic time}$$

$$\Delta E \propto |\lambda - \lambda_c|^{z\nu}, \quad \text{Gap closing}$$

...

*Critical exponents*  $\nu, \delta, z, \dots$ , different *universality classes*.



# Outlook

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# Phase transitions in open quantum systems

- ◆ Quantum PT's, non-analyticity in ground state  $|\psi_0(\lambda)\rangle$  at critical coupling  $\lambda_c$ .
- ◆ Transition due to quantum fluctuations.
- ◆ Open quantum system

$$\partial_t \hat{\rho}(t) = i \left[ \hat{\rho}(t), \hat{H}_S \right] + \hat{\mathcal{L}} [\hat{\rho}(t)],$$

$$\hat{\mathcal{L}} [\hat{\rho}(t)] = \sum_i g_i \left( 2\hat{A}_i \hat{\rho}(t) \hat{A}_i^\dagger - \hat{A}_i^\dagger \hat{A}_i \hat{\rho}(t) - \hat{\rho}(t) \hat{A}_i^\dagger \hat{A}_i \right)$$

- ◆ **No** ground state, **no** energy spectrum!!

What do we mean by a PT here, what is the relevant state?

# Phase transitions in open quantum systems

- ◆ One (obvious) physically relevant state is the steady state

$$\partial_t \hat{\rho}_{ss}(t) = 0 \rightarrow i [\hat{\rho}_{ss}, \hat{H}_S] + \hat{\mathcal{L}}[\hat{\rho}_{ss}] = 0$$

- ◆ May be non-equilibrium, but time-independent.
- ◆ Closed system, all energy eigenstates also steady states.
- ◆ If:
  1.  $[\hat{A}_i, \hat{H}_S] = 0, \forall \hat{A}_i$ , energy eigenstates also steady states.
  2.  $\hat{H}_S$  critical and  $\hat{\mathcal{L}}[|\psi_0(\lambda < \lambda_c)\rangle\langle\psi_0(\lambda < \lambda_c)|] = 0$ , the environment is expected to support the symmetric phase.
- ◆ If neither of the two  $\rightarrow$  new physics???
- ◆ Phase transition if  $\hat{\rho}_{ss}$  non-analytic for some  $\lambda_c$ .



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## 2. Open quantum systems

- Composite systems, density operators.
- Lindblad master equation.

## 3. Open quantum phase transitions

## **4. A new type of phase transition**

## 5. Prospects

# New type of phase transition *Model*

## ◆ Simplest (non-trivial) scenario

- $\partial_t \hat{\rho} = i[\hat{\rho}, \hat{H}_s]$  trivial
- $\partial_t \hat{\rho} = \kappa(2\hat{A}\hat{\rho}\hat{A}^\dagger - \hat{A}^\dagger \hat{A}\hat{\rho} - \hat{\rho}\hat{A}^\dagger \hat{A})$  trivial
- $\partial_t \hat{\rho} = i[\hat{\rho}, \hat{H}_s] + \kappa(2\hat{A}\hat{\rho}\hat{A}^\dagger - \hat{A}^\dagger \hat{A}\hat{\rho} - \hat{\rho}\hat{A}^\dagger \hat{A})$  critical

## ◆ One such model (which is also solvable analytically!)

$$[\hat{S}_i, \hat{S}_j] = i\varepsilon_{ijk}\hat{S}_k \quad \text{Large spin-}S \text{ (preserved)}$$

$$\hat{H}_S = \omega \hat{S}_x \quad \text{Coherent drive}$$

$$\hat{A} = \hat{S}_- \quad \text{Spontaneous decay to state } |S, -S\rangle$$

# New type of phase transition

## *Model*

### ◆ Equation to solve

$$\partial \hat{\rho} = i[\hat{\rho}, \omega \hat{S}_x] + \kappa(2\hat{S}_- \hat{\rho} \hat{S}_+ - \hat{S}_+ \hat{S}_- \hat{\rho} - \hat{\rho} \hat{S}_+ \hat{S}_-)$$

### ◆ Limiting cases

$$\frac{\kappa}{\omega} = 0 \rightarrow |S, -S\rangle_x \quad \text{Hamiltonian dominates}$$

$$\frac{\omega}{\kappa} = 0 \rightarrow |S, -S\rangle_z \quad \text{Lindbladian dominates}$$

### ◆ Phase transition somewhere between?

# New type of phase transition

## *Mean-field solution*

◆ Mean-field (normal ordering):  $S_\alpha = \langle \hat{S}_\alpha \rangle$ ,  $\langle \hat{S}_\alpha \hat{S}_\beta \rangle = \langle \hat{S}_\alpha \rangle \langle \hat{S}_\beta \rangle$ .

$$\dot{S}_x = 2 \frac{\kappa}{S} S_x S_z,$$

$$\dot{S}_y = S_z \left( 2 \frac{\kappa}{S} S_y - \omega \right),$$

$$\dot{S}_z = \omega S_y - 2 \frac{\kappa}{S} (S_x^2 + S_y^2)$$

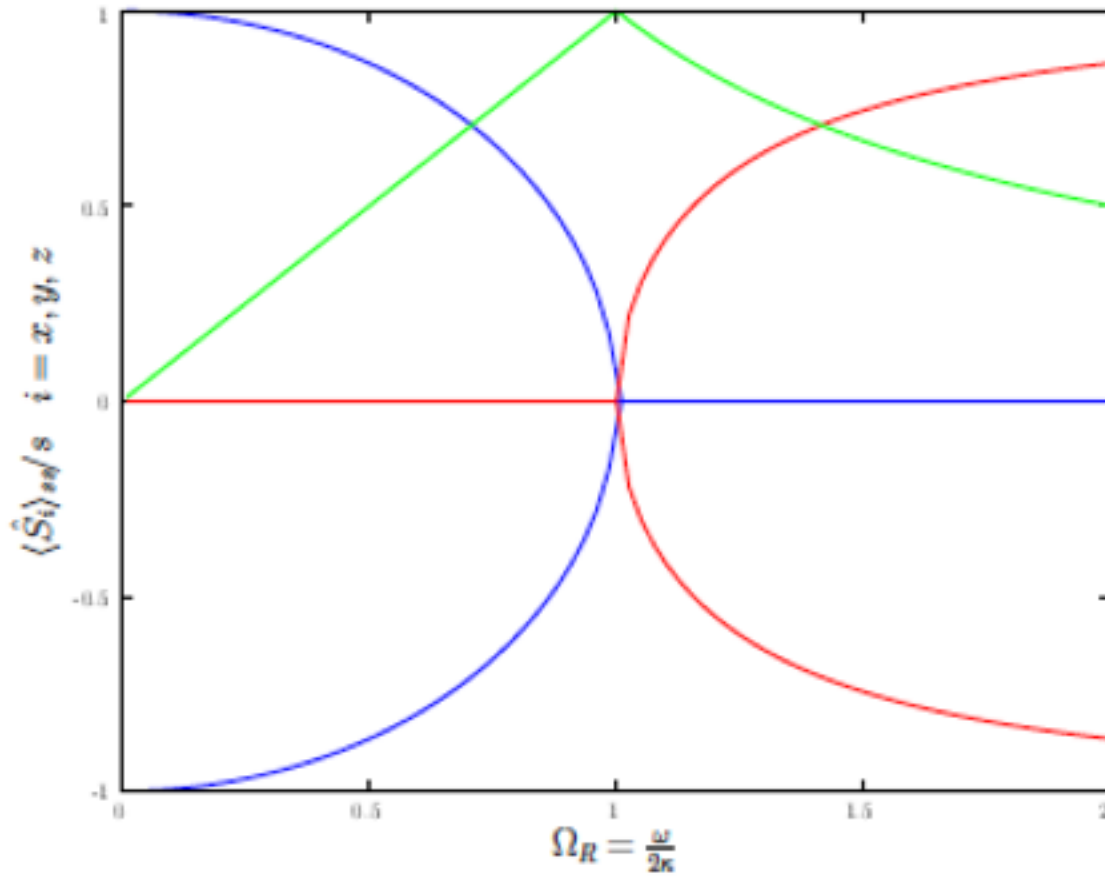
◆ Conserved spin:  $S^2 = S_x^2 + S_y^2 + S_z^2$ .

◆ Steady state solutions

$$(S_x, S_y, S_z)/S = \left( \pm \sqrt{1 - \frac{4\kappa^2}{\omega^2}}, \frac{2\kappa}{\omega}, 0 \right), \quad (S_x, S_y, S_z)/S = \left( 0, \frac{\omega}{2\kappa}, \pm \sqrt{1 - \frac{\omega^2}{4\kappa^2}} \right)$$

# New type of phase transition

## *Mean-field solution*



Classical steady state solutions:  $x$  (red),  $y$  (green),  $z$  (blue).

Red curve, *Hopf bifurcation*

Blue curve, *Strange bifurcation...*

# New type of phase transition

## *Universality*

◆ Universality - critical exponents.

- Mean-field.  $\lambda = \omega/\kappa \rightarrow$  “Magnetization”  $S_z \propto |\lambda_c - \lambda|^{1/2} \rightarrow \nu = 1/2$ .
- Quantum.  $\nu = 1/2$ .

◆ Seems to be universal!

◆ No length scale! Critical slowing down??

# New type of phase transition

## *Universality*

- ◆ Quantum treatment. Analytical solution

$$\hat{\rho}_{ss} = \frac{1}{D} \sum_{n,m=0}^{2S} (g^*)^{-m} g^{-n} \hat{S}_-^m \hat{S}_+^n, \quad g = i\omega S/\kappa$$

Expectations  $\langle \hat{\mathcal{O}} \rangle = \text{Tr}[\hat{\mathcal{O}} \hat{\rho}_{ss}]$ . Truncate for some  $S$ .

- ◆ Critical exponent the same as for mean-field.
- ◆ Fluctuations in  $\hat{S}_x$ :  $\langle \hat{S}_x^2 \rangle \propto |\lambda_c - \lambda|^{1/2}$ .

# New type of phase transition

## *Symmetries*

### ◆ Symmetries.

- *Closed case.*  $[\hat{A}, \hat{H}] = 0 \leftrightarrow \hat{H} = \hat{U} \hat{H} \hat{U}^{-1} \rightarrow \hat{A} \text{ conserved.}$
- *Open case.*  $\partial \hat{\rho} = \mathcal{L}_{\hat{L}}[\hat{\rho}] = \mathcal{L}_{\hat{U} \hat{L} \hat{U}^{-1}}[\hat{\rho}] \rightarrow \text{generally no conserved quantity.}$

### ◆ Guess $\hat{S}_x \rightarrow -\hat{S}_x, \hat{S}_y \rightarrow \hat{S}_y, \hat{S}_z \rightarrow -\hat{S}_z$

$$\partial \hat{\rho} = i[\hat{\rho}, \omega \hat{S}_x] + \kappa \left( 2\hat{S}_- \hat{\rho} \hat{S}_+ - \hat{S}_+ \hat{S}_- \hat{\rho} - \hat{\rho} \hat{S}_+ \hat{S}_- \right)$$

$\rightarrow$

$$\partial \hat{\rho} = -i[\hat{\rho}, \omega \hat{S}_x] + \kappa \left( 2\hat{S}_+ \hat{\rho} \hat{S}_- - \hat{S}_- \hat{S}_+ \hat{\rho} - \hat{\rho} \hat{S}_- \hat{S}_+ \right)$$

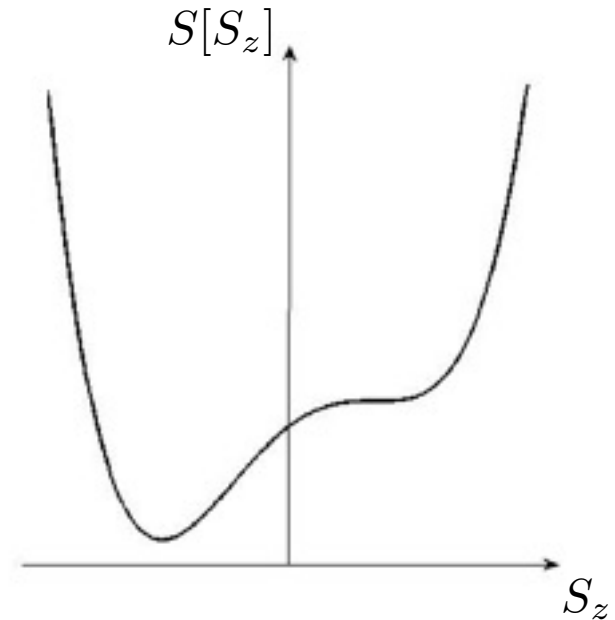
*Dual symmetry!* Flip spectrum + “step up” instead of “step down”.

# New type of phase transition

## *Symmetries*

### ◆ Mean-field.

- First bifurcation ( $S_z$ ). **No** pitchfork, only one or two solutions. Stability: one stable, one unstable.
- Second bifurcation ( $S_x$ ). Stability: the two solutions with purely imaginary eigenvalues  $\rightarrow$  Hopf. (Phase space a sphere so peculiar trajectories).





# New type of phase transition

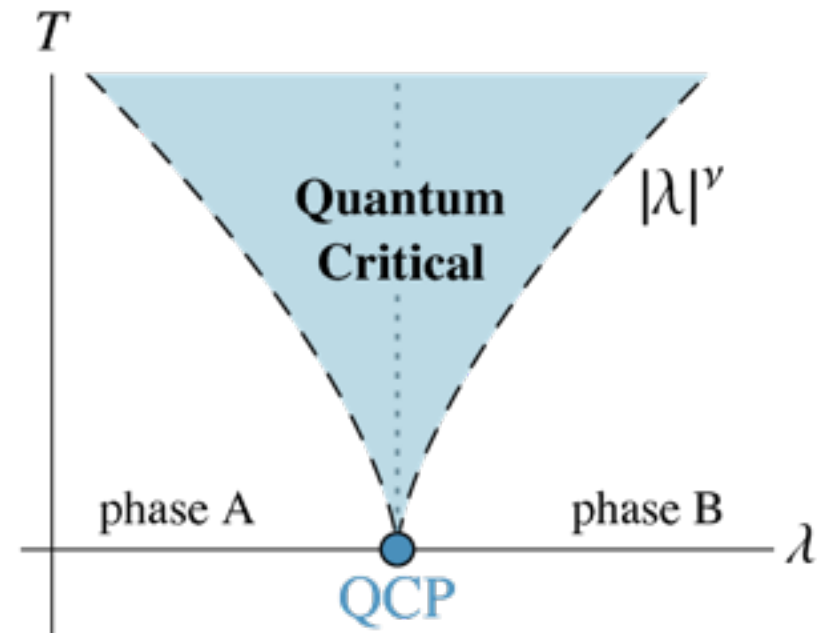
Second order phase transition with no  
symmetry breaking!!

# New type of phase transition

## *Quantum or classical*

◆ Is it a quantum phase transition?

- Single degree of freedom.  
Quantum fluctuations vanishingly small in thermodynamic limit.
- Fluctuations due to coupling to reservoir.
- *Quantum critical region* - signatures of “quantum” survives finite temperatures in the vicinity of a critical point.

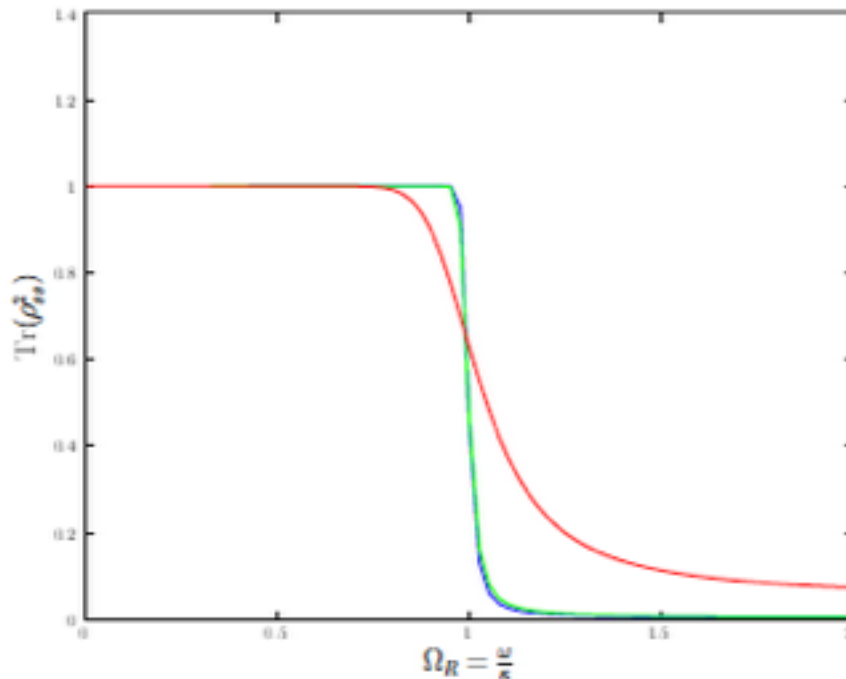


# New type of phase transition

## *Quantum or classical*

### ◆ “Quantum”

- Coherence: *Purity*  $P \equiv \text{Tr}[\hat{\rho}^2] > 0$  (thermodynamic limit).
- Entanglement: *Concurrence*  $C(\hat{\rho})$  between two qubits. *Negativity*  $N(\hat{\rho})$  between two equal halves.



Thermodynamic limit:  
Reservoir dominated - pure  
state.

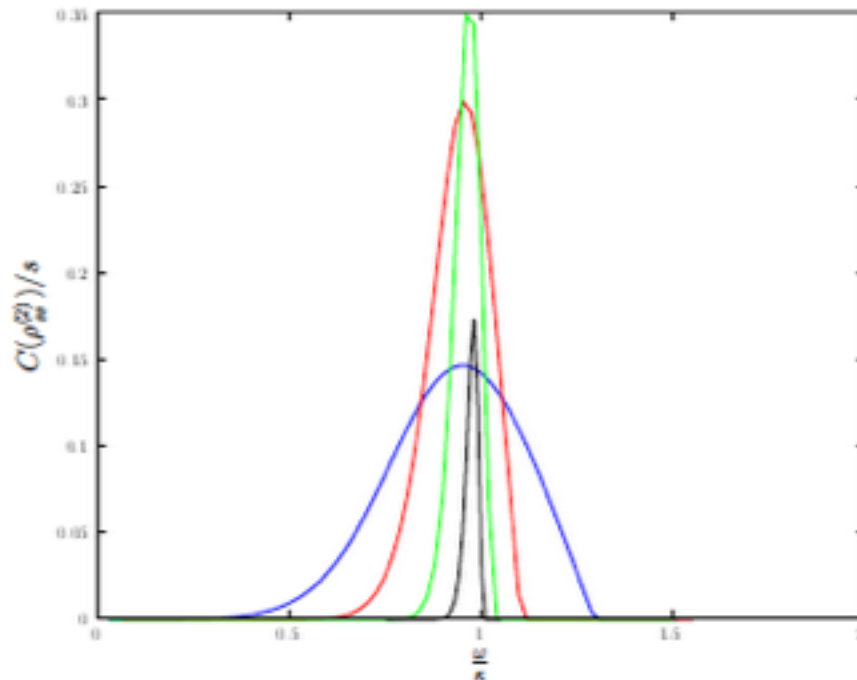
Hamiltonian dominated -  
maximally mixed.

# New type of phase transition

## *Quantum or classical*

### ♦ “Quantum”

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- Entanglement: *Concurrence*  $C(\hat{\rho})$  between two qubits. *Negativity*  $N(\hat{\rho})$  between two equal halves.



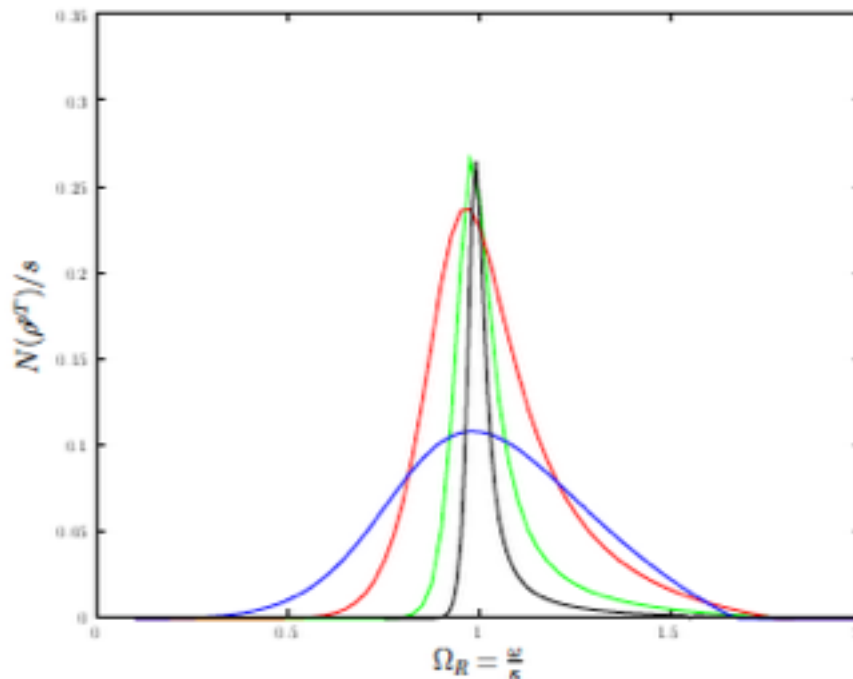
Thermodynamic limit:  
No qubit-qubit entanglement  
outside the critical point.

# New type of phase transition

## *Quantum or classical*

### ◆ “Quantum”

- Coherence: *Purity*  $P \equiv \text{Tr}[\hat{\rho}^2] > 0$  (thermodynamic limit).
- Entanglement: *Concurrence*  $C(\hat{\rho})$  between two qubits. *Negativity*  $N(\hat{\rho})$  between two equal halves.



Thermodynamic limit:

No subsystem-subsystem entanglement outside the critical point.

Scales  $N(\hat{\rho}) \sim S$  ('volume law').

'Critical exponents' infinite.



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## **5. Prospects**

# Phase transitions in open quantum systems

- ♦ Found a model possessing an open PT, seemingly second order but lacks any symmetry breaking → **New type**. Partly unexplored field.

## Open questions

- Are these PT's universal? Scale invariance? New classes?
- Models driven more strongly by quantum fluctuations, length scale (lattice models).
- Gap closing of the *Liouvillian*, universal?
- Is there a *Mermin-Wagner theorem* for open QPT's?
- *Kibble-Zurek mechanism*?

**Thanks!**

