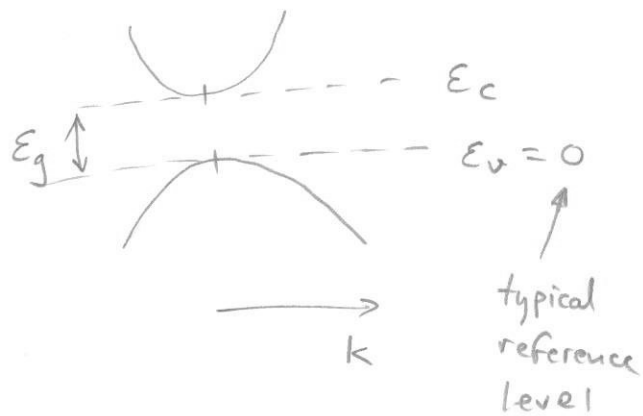


$$E_g \sim 1 \text{ eV}$$

Typically parabolic bands

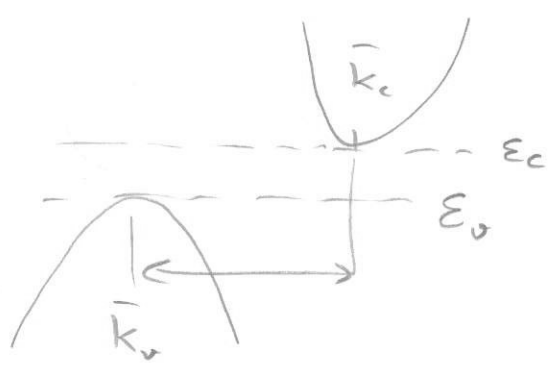
C.B.:  $E(\bar{k}) = E_c + \frac{\hbar^2}{2m_e} (\bar{k} - \bar{k}_c)^2$

V.B.:  $E(\bar{k}) = -\frac{\hbar^2}{2m_h} (\bar{k} - \bar{k}_v)^2$



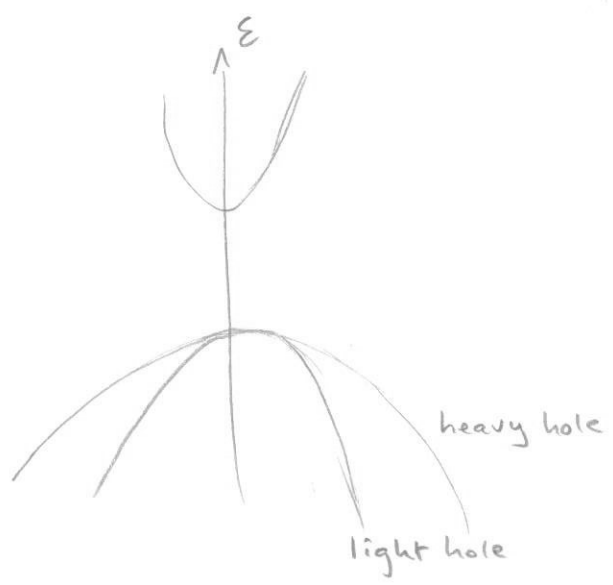
Si:  $m_e \approx 0.26 m$   
 $m_h \approx 0.5 m$  } free electron mass

Indirect gap



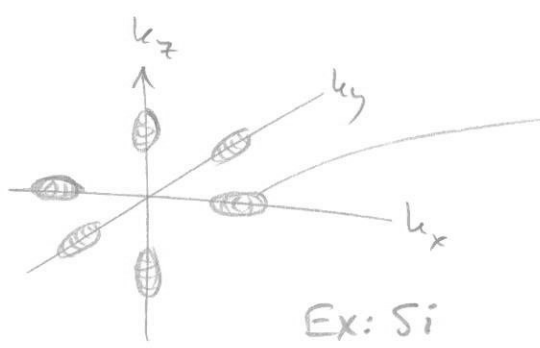
ex. Si

Degeneracy



Constant-energy surface  
near conduction band edge:

$$\frac{1}{m^*} = \frac{1}{\hbar^2} \frac{\partial^2 E}{\partial k^2}$$



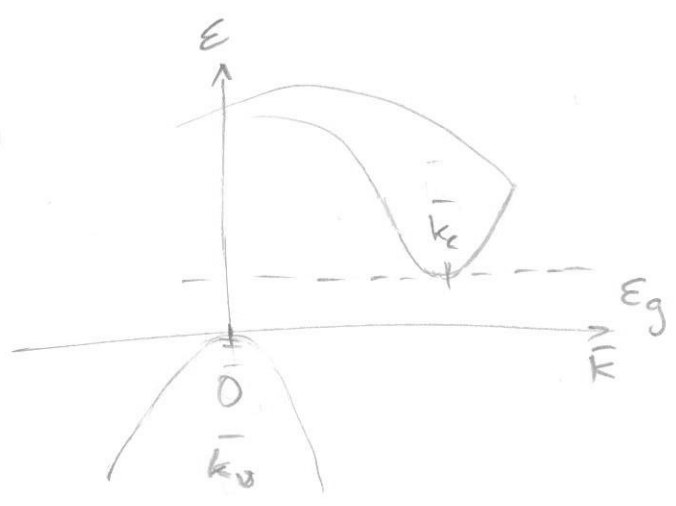
$$E(k) = \frac{\hbar^2}{2m} \left[ \frac{(k_x - k_c)^2}{0.916} + \frac{k_y^2 + k_z^2}{0.191} \right]$$

(Ellipsoid)

Average for different directions and  
6 band edges



Effective mass  $\frac{m_e}{m} = 0.26$



Approximation: parabolic bands, spherical energy surfaces

③

$$\Rightarrow E(\bar{k}) = E_c + \frac{\hbar^2}{2m_e} (\bar{k} - \bar{k}_c)^2 \quad (\text{C.B.})$$

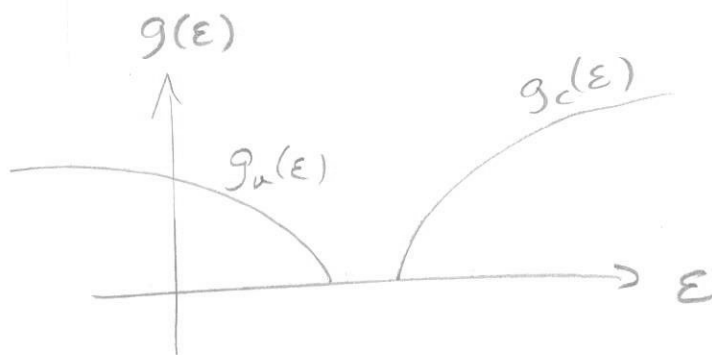
$$E(\bar{k}) = E_v - \frac{\hbar^2}{2m_h} (\bar{k} - \bar{k}_v)^2 \quad (\text{V.B.})$$

Density of states

$$g_c(\epsilon) = A_c \cdot \frac{1}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{3/2} (\epsilon - E_c)^{1/2} \quad (\epsilon > E_c)$$

number of conduction band edges in the B.Z.  
(6 in Si)

$$g_v(\epsilon) = A_v \cdot \frac{1}{2\pi^2} \left( \frac{2m_h}{\hbar^2} \right)^{3/2} (E_v - \epsilon)^{1/2} \quad (\epsilon < E_v)$$



Compare free electrons:  $g(\epsilon) = \frac{m}{\hbar^2 \pi^2} \sqrt{\frac{2m\epsilon}{\hbar^2}} =$   
(2.61)  
 $= \frac{1}{2\pi^2} \left( \frac{2m}{\hbar^2} \right)^{3/2} \cdot \sqrt{\epsilon}$



If  $|\epsilon - \mu| \gg k_B T$  i.e. typically if  $\epsilon > \epsilon_c$  or  $\epsilon < \epsilon_v$

$$\frac{1}{e^{(\epsilon - \mu)/k_B T} + 1} \approx e^{-(\epsilon - \mu)/k_B T} \quad \epsilon > \epsilon_c$$

$$\frac{1}{e^{(\mu - \epsilon)/k_B T} + 1} \approx e^{-(\mu - \epsilon)/k_B T} \quad \epsilon < \epsilon_v$$

$$n_c(T) = \int_{\epsilon_c}^{\infty} \frac{1}{e^{(\epsilon - \mu)/k_B T} + 1} g_c(\epsilon) d\epsilon =$$

$$= \int_{\epsilon_c}^{\infty} e^{-(\epsilon - \mu)/k_B T} e^{-(\epsilon_c - \mu)/k_B T} e^{+(\epsilon_c - \mu)/k_B T} g_c(\epsilon) d\epsilon =$$

move out
extend

$$= e^{-(\epsilon_c - \mu)/k_B T} \int_0^{\infty} e^{-(\epsilon - \epsilon_c)/k_B T} g_c(\epsilon) d(\epsilon - \epsilon_c)$$

function of  $x = \epsilon - \epsilon_c$

$$n_c(T) = N_c(T) e^{-(\epsilon_c - \mu)/k_B T}$$

$$p_v(T) = P_v(T) e^{-(\mu - \epsilon_v)/k_B T}$$

$N_c(T)$  Not depending on energy

Where

$N_c(T) = \frac{1}{4} \left( \frac{2m_c k_B T}{\pi \hbar^2} \right)^{3/2} \cdot A_c$	$(m_c = m_e)$
$P_v(T) = \frac{1}{4} \left( \frac{2m_v k_B T}{\pi \hbar^2} \right)^{3/2} \cdot A_v$	$(m_v = m_n)$

# Law of mass action

We had  $n = N_0 e^{-(E_c - \mu)/k_B T}$

$$p = P_0 e^{-(\mu - E_v)/k_B T}$$

$n$  and  $p$  determined by chemical potential  $\mu$

$$n \cdot p = N_0 P_0 e^{-(E_c - E_v)/k_B T} = \underline{N_0 P_0 \cdot e^{-E_g/k_B T}}$$

Always valid  
(regardless of doping)

//  
 $n \cdot p = \text{const} (E_g/k_B T)$

product independent of  $\mu$

Intrinsic semiconductors - impurities not important  
(doping)

$$n = p = n_i$$

$$n_i^2 = N_0 P_0 e^{-E_g/k_B T}$$

$$n_i = \sqrt{N_0 P_0} e^{-E_g/2k_B T}$$

Chemical potential - intrinsic case

$n = p = n_i$  gives

Eg: 
$$N_c(T) \cdot e^{-(E_c - \mu)/k_B T} = P_v(T) e^{-(\mu - E_v)/k_B T}$$

$$\frac{1}{4} \left( \frac{2m_c k_B T}{\pi \hbar^2} \right)^{3/2} \cdot A_c \quad \left( \frac{1}{4} \left( \frac{2m_v k_B T}{\pi \hbar^2} \right)^{3/2} A_v \right)$$

$$e^{(\mu - E_c + \mu - E_v)/k_B T} = \frac{P_v(T)}{N_c(T)} = \left( \frac{m_v}{m_c} \right)^{3/2} \cdot \left( \frac{A_v}{A_c} \right)$$

$$2\mu - \underbrace{(E_v + E_c)}_{2E_v + E_g} = k_B T \cdot \ln \left[ \left( \frac{m_v}{m_c} \right)^{3/2} \right]$$

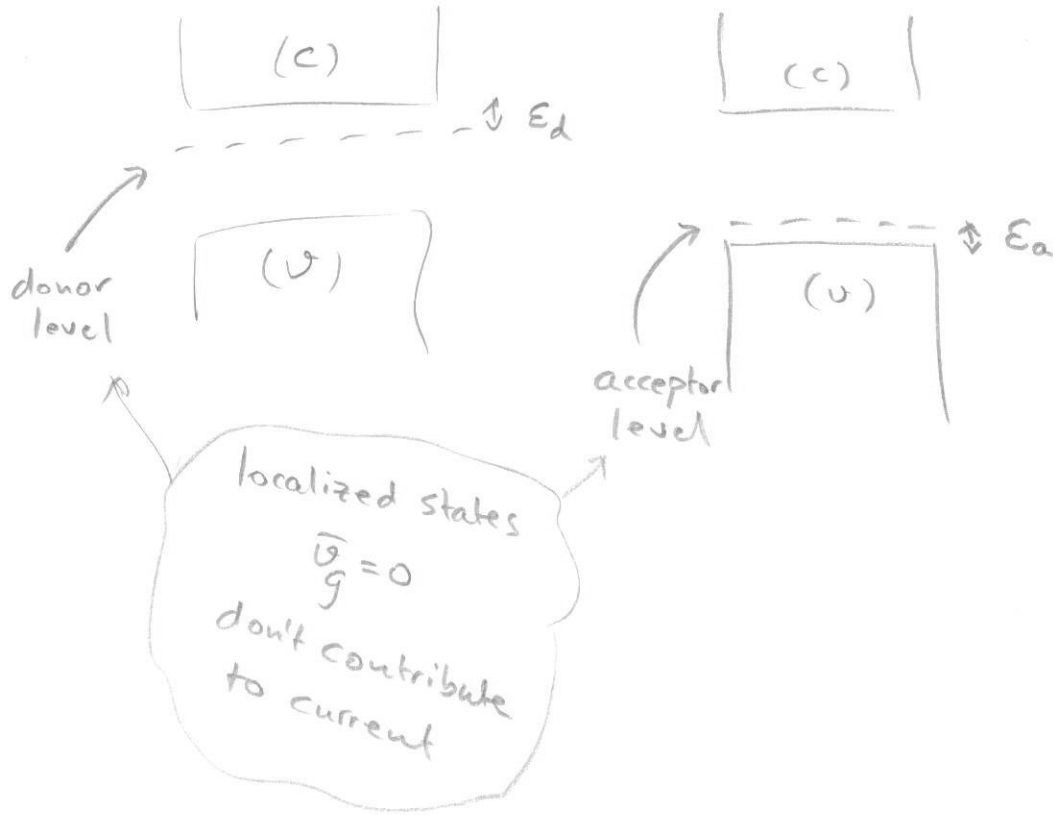
↑  
mix  
Don't bother

$$\mu = E_v + \frac{1}{2} E_g + \frac{3}{4} k_B T \ln \left( \frac{m_v}{m_c} \right)$$

$T \rightarrow 0 : \mu = E_v + \frac{1}{2} E_g$  (middle of gap)

# Doping

Adding small amounts of electron donors/acceptors  
el doping      hole doping



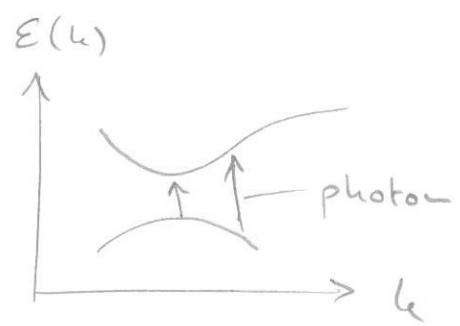
$$n \cdot p = n_i \cdot p_i$$

Charge balance

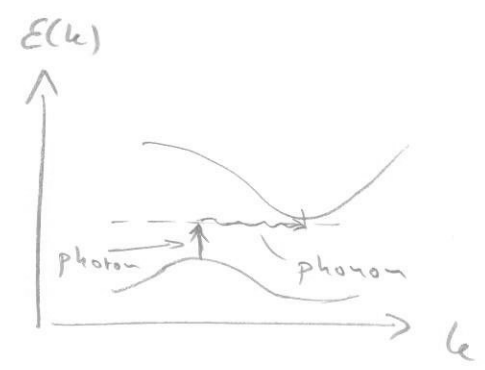
$$n + N_a^- = p + N_d^+$$



# Absorption of electromagnetic radiation

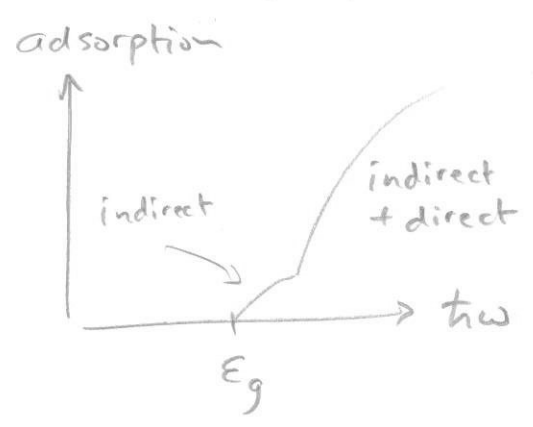
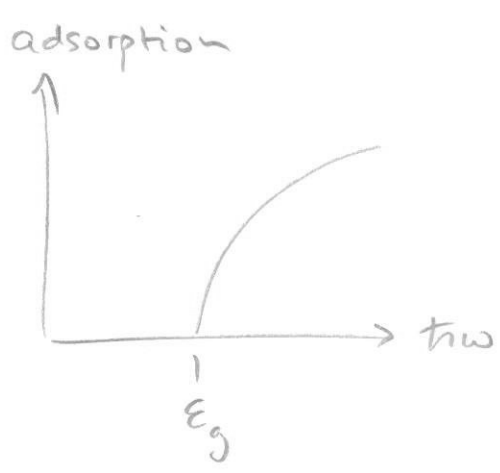


Direct optical absorption



Indirect absorption

(little energy to phonon too)



## Conductivity

$$\sigma = ne\mu_e + pe\mu_h$$

intrinsic :  $\sigma \sim e^{-E_g/2k_B T}$

## Hall effect

$$n \gg p : R_H = -\frac{1}{ne}$$

$$p \gg n : R_H = +\frac{1}{pe}$$