

Exact results for a \mathbb{Z}_3 -clock-type model and some close relatives

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In this paper, we generalized the Peschel-Emery line of the interacting transverse field Ising model to a model based on three-state clock variables. Along this line, the model has exactly degenerate ground states, which can be written as product states. In addition, we present operators that transform these ground states into each other. Such operators are also presented for the Peschel-Emery case. We numerically show that the generalized model is gapped. Furthermore, we study the spin- S generalization of interacting Ising model and show that along a Peschel-Emery line they also have degenerate ground states. We discuss some examples of excited states that can be obtained exactly for all these models.

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I. INTRODUCTION

Kitaev's work on Majorana bound states (MBS) [1] spurred the current interest in zero modes in general. This resulted in proposals to detect MBSs in nanowires [2,3], resulting in several promising experiments [4–6], trying to observe these zero modes, which if observed, could be used for (topological) quantum information purposes [7].

From a theoretical point of view, one can divide zero modes in two types [8]. A zero mode is weak, if it is only associated with a degeneracy of the ground state, while a strong zero mode implies that the whole spectrum is degenerate (up to corrections that are exponentially small in the size of the system). Zero modes of noninteracting systems are strong, as for instance the MBSs of the noninteracting Kitaev chain. Examples of interacting systems with a strong zero mode are the XYZ chain [9] and the *chiral* three-state Potts model [10]. The zero-modes of the later model are interesting, because they are closely related to parafermionic zero-modes, which are more powerful in comparison to the MBS, and there are proposals to realize parafermions [8,11,12].

In this paper we are interested in interacting systems, that can be fine tuned such that they have an *exact* zero mode for arbitrary system size, i.e., models which have an exact degeneracy of the ground state. Generic excited states of these models are not degenerate.

Famous examples of models with an exact zero mode are the AKLT [13,14] and Majumdar-Ghosh (MG) spin chains [15,16], as well as the interacting transverse field Ising model, along the so-called Peschel-Emery (PE) line [17]. The common denominator of these models is that their ground states are frustration free. These ground states minimize the energy for each term in the Hamiltonian, even though these terms in the Hamiltonian do not commute with one another. Obviously, to achieve this, one has to fine tune the model. This is nevertheless a useful exercise, because for these fine tuned models, one can often prove some results, such as the existence of a gap, which is typically impossible for generic Hamiltonians.

We show that the PE line can be generalized to a model build from three-state clock variables, such as the three state

Potts model, as considered by Peschel and Truong [18]. Along this line, the three ground states are exactly degenerate, and can be written as product states (which is not possible in the AKLT and MG cases). In addition, we construct edge operators, that permutes these ground states, all along this line. We also construct such an operator for the PE line, which was not known previously, and present some exact excited states of these models. We show numerically that the model has a gap. Finally, we introduce a spin- S generalization of the PE line.

II. THE PESCHEL-EMERY LINE

The Hamiltonians we consider in this paper are all written as a sum of two-body terms of a L -site chain,

$$H = \sum_j h_{j,j+1}, \quad (1)$$

where the range of the sum depends on whether we consider an open or closed chain. For the Ising model in a magnetic field and pair interactions, Peschel and Emery [17] found that if one parametrizes $h_{j,j+1}(l)$ as follows,

$$h_{j,j+1}^{\text{PE}}(l) = -\sigma_j^x \sigma_{j+1}^x + \frac{h(l)}{2} (\sigma_j^z + \sigma_{j+1}^z) + U(l) \sigma_j^z \sigma_{j+1}^z + [U(l) + 1], \quad (2)$$

the model has two exactly degenerate ground states (with zero energy), which can be written as product states. Here, the σ^α are the Pauli matrices and $U(l) = \frac{1}{2}[\cosh(l) - 1]$, $h(l) = \sinh(l)$ [we note that the sign of $h(l)$ is immaterial] and $l \geq 0$. The model is \mathbb{Z}_2 symmetric, with the parity given by $P = \prod_{j=1}^L \sigma_j^z$. In the open case, the magnetic field of the boundary spins is half that of the bulk spins.

A direct way to obtain $h_{j,j+1}^{\text{PE}}$ was given by Katsura *et al.* [19]. For the two-site problem, one first demands that the energy of the ground states in the even and odd sectors are equal, fixing the form of $h(l)$ and $U(l)$. Then one combines the two ground states to write them as product states. This ensures that the ground states of a chain of arbitrary length L

are frustration free and can be written as product states. For both the open and periodic chain, they take the form

$$|\psi_1(l)\rangle = (|\uparrow\rangle + e^{\frac{l}{2}}|\downarrow\rangle)^{\otimes L}, \quad |\psi_2(l)\rangle = (|\uparrow\rangle - e^{\frac{l}{2}}|\downarrow\rangle)^{\otimes L}.$$

We note that the energy per bond is $\epsilon(l) = 0$, because of the constant energy shift in Eq. (2). These product states do not have definite parity, but orthonormal parity states are constructed as

$$|E = 0; \pm\rangle = \mathcal{N}_{\pm}(l)(|\psi_1(l)\rangle \pm |\psi_2(l)\rangle), \quad (3)$$

$$\mathcal{N}_{\pm}(l) = [2(1 + e^l)^L \pm 2(1 - e^l)^L]^{-\frac{1}{2}}. \quad (4)$$

We label parity eigenstates by both the energy, and the parity eigenvalue.

A. Completely local edge-modes

The fermionic incarnation of the model Eq. (2), obtained after performing a Jordan-Wigner transformation [20], is the Kitaev chain with a nearest-neighbor Hubbard term [19]. Along the PE line, this model is in the topological phase [19,21], and has exact zero modes in the open case. For $U = 0$ and arbitrary h the fermionic model is quadratic and can be solved exactly [22–24]. For $|h| < 1$ the model is topological and hosts two, zero energy, Majorana bound states, localized at the edges [1]. The presence of this zero mode implies that the full spectrum is degenerate up to exponentially small corrections in the system size. Generically, upon adding the interaction term, one loses the degeneracy of the full spectrum [25] but as long as one is in the topological phase, the ground state remains degenerate. The system then has a *weak* zero mode, that resides on the edges of the system, and maps the degenerate ground states into each other [26].

We now construct edge operators that are completely localized on the edges of the system, along the full PE line, but it is insightful to first consider the free fermion point $l = 0$. Using fermion language, such that we associated to Majorana operators $\gamma_{A,j}$ and $\gamma_{B,j}$ to each site j , the Majorana edge modes are completely localized on the first and last sites for $l = 0$. In the spin language one of these has a nonlocal string operator owing to the Jordan-Wigner transformation,

$$\gamma_{A,1} = \sigma_1^x, \quad \gamma_{B,L} = -iP\sigma_L^x. \quad (5)$$

These Majorana operators anticommute with P and in the ground-state space $\{|E = 0; +\rangle, |E = 0; -\rangle\}$, they act as σ^x and σ^y , respectively, for $l = 0$.

We want to generalize these operators to arbitrary l such that they still permute the parity eigenstates and are normalized (i.e., square to the identity). The edge operators that satisfy these conditions are

$$A_{\frac{1}{2}}(l) = e^{-\frac{l}{2}}\sigma_1^+ + e^{\frac{l}{2}}\sigma_1^-, \quad (6)$$

$$B_{\frac{1}{2}}(l) = -iP(e^{-\frac{l}{2}}\sigma_L^+ + e^{\frac{l}{2}}\sigma_L^-), \quad (7)$$

where $\sigma^{\pm} = \frac{1}{2}(\sigma^x \pm i\sigma^y)$. They indeed act on the parity eigenstates as follows,

$$A_{\frac{1}{2}}|+\rangle = \frac{\mathcal{N}_+}{\mathcal{N}_-}|-\rangle, \quad A_{\frac{1}{2}}|-\rangle = \frac{\mathcal{N}_-}{\mathcal{N}_+}|+\rangle, \quad (8)$$

$$B_{\frac{1}{2}}|+\rangle = i\frac{\mathcal{N}_+}{\mathcal{N}_-}|-\rangle, \quad B_{\frac{1}{2}}|-\rangle = -i\frac{\mathcal{N}_-}{\mathcal{N}_+}|+\rangle, \quad (9)$$

where $|\pm\rangle$ stand for $|E = 0; \pm\rangle$ and we dropped the dependence on l .

We note that despite the fact that $A_{\frac{1}{2}}(l)^2 = B_{\frac{1}{2}}(l)^2 = \mathbf{1}$, $\{A_{\frac{1}{2}}(l), B_{\frac{1}{2}}(l)\} = 0$, and $\{A_{\frac{1}{2}}(l), P\} = \{B_{\frac{1}{2}}(l), P\} = 0$, these are not Majorana operators for finite size systems, because $A_{\frac{1}{2}}^\dagger(l) \neq A_{\frac{1}{2}}(l)$ and $B_{\frac{1}{2}}^\dagger(l) \neq B_{\frac{1}{2}}(l)$ for $l \neq 0$. Since $A_{\frac{1}{2}}^\dagger(l)$ and $B_{\frac{1}{2}}^\dagger(l)$ do not have a simple action on the ground state space, it does not seem possible to use them to construct Majorana operators with the desired action on the ground state space for finite system sizes. Despite this, they do constitute an exact zero-mode, all along the PE line.

However, in the thermodynamic limit we have

$$\lim_{L \rightarrow \infty} \frac{\mathcal{N}_+}{\mathcal{N}_-} = 1, \quad (10)$$

which means that $A_{\frac{1}{2}}$ and $B_{\frac{1}{2}}$ acts as σ^x and σ^y , respectively, in the ground-state manifold. Therefore, in this limit, they are Majorana fermions indeed, provided one uses the fermionic incarnation of the model. This also shows, as is well known, that in the fermionic version of the model, the PE line lies within the topological phase of the model.

We point out that the operators $A_{\frac{1}{2}}$ and $B_{\frac{1}{2}}$, which are defined on site one and site L in Eqs. (6) and (7), respectively, could have been defined on arbitrary sites, because the ground states are product states. However, if one uses the Jordan-Wigner transformation [see Eq. (13) below] to write the model in its fermionic incarnation, only the operators $A_{\frac{1}{2}}$ and $B_{\frac{1}{2}}$ of Eqs. (6) and (7) become Majorana fermions, which are localized at the left and right edge, respectively. The operators in the bulk would have tails either to the left or to the right.

As it has been pointed out by Alexandradinata *et al.* [26], to study topological order in the ground-state manifold weak zero modes are sufficient. These zero modes capture the necessary algebra and act on the ground-state manifold as required. Therefore, when they are present, one can understand the degeneracy in the ground-state manifold and use them to perform the calculation which is needed in the practical setups like T-junctions for braiding.

We should remark that exact Majorana operators can be constructed along the PE line [19]. They are exponentially localized at the edges, and take the following form:

$$\Gamma_L = \frac{1}{\sqrt{\sum_{j=0}^{L-1} q^{2j}}} \sum_{j=1}^L q^{(j-1)} \gamma_{A,j}, \quad (11)$$

$$\Gamma_R = \frac{1}{\sqrt{\sum_{j=0}^{L-1} q^{2j}}} \sum_{j=1}^L q^{(L-j)} \gamma_{B,j}, \quad (12)$$

where $q = -\tanh(l/2)$. For completeness, we state the explicit form of the Majorana operators $\gamma_{A,j}$ and $\gamma_{B,j}$ in terms of

the spin operators,

$$\gamma_{A,j} = \left(\prod_{k<j} \sigma_k^z \right) \sigma_j^x, \quad \gamma_{B,j} = \left(\prod_{k<j} \sigma_k^z \right) \sigma_j^y. \quad (13)$$

B. Exact excited states

The Majumdar-Ghosh [15,16] and AKLT [13,14] chains, which have frustration-free ground states, also have excited states that can be obtained exactly for finite system size; see Refs. [27] and [28,29], respectively. Along the PE line, one can also obtain exact excited states, in the case with PBC and an even number of sites. We start with the eigenstates of $h_{j,j+1}(l)$,

$$|g_+\rangle = |\uparrow\uparrow\rangle + e^l |\downarrow\downarrow\rangle \quad |g_-\rangle = e^{l/2} (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \quad (14)$$

$$|e_+\rangle = e^l |\uparrow\uparrow\rangle - |\downarrow\downarrow\rangle \quad |e_-\rangle = e^{l/2} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle), \quad (15)$$

where the ground states g_\pm of both parity sectors have energy 0, while e_- and e_+ have energy 2 and $2 + U(l)$, respectively. For simplicity, we dropped the dependence on l . For a system with an even number of sites, i.e., $L = 2N$, the ground states Eq. (3) can be written as

$$|E = 0; \pm\rangle = 2\mathcal{N}_\pm \sum_{i_1 \dots i_N = \pm} g_{i_1} g_{i_2} \dots g_{i_{N-1}} g_{i_N}, \quad (16)$$

where the sum is over all 2^{N-1} configurations $i_j = \pm$, with fixed overall parity. Both these parity ground states have momentum $K = 0$, despite the fact that the expression has a two-site block structure. Some exact excited states can be obtained by exchanging a ground state block g by an excited state block e_\pm , and summing over all positions for this block. This can be achieved by using the operators

$$O_j^- = \sigma_{2j-1}^z - \sigma_{2j}^z, \quad O_j^+ = \sigma_{2j-1}^+ \sigma_{2j}^+ - \sigma_{2j-1}^- \sigma_{2j}^-, \quad (17)$$

which act as (focussing on the case with two sites)

$$O^- |g_-\rangle = 2|e_-\rangle \quad O^- |g_+\rangle = 0, \quad (18)$$

$$O^+ |g_+\rangle = |e_+\rangle \quad O^+ |g_-\rangle = 0. \quad (19)$$

Two parity eigenstates with $E = 4$ can be written as

$$\begin{aligned} |E = 4, \pm\rangle &= \sum_{j=1}^N O_j^- |E = 0; \pm\rangle \\ &= 4\mathcal{N}_\pm \sum_{j=1}^N \sum_{i_1 \dots i_N = \pm} g_{i_1} \dots g_{i_{j-1}} e_{-} g_{i_{j+1}} \dots g_{i_N}, \end{aligned} \quad (20)$$

where $i_j = -$ is fixed in the second sum. These states automatically have momentum $K = \pi$. Exchanging the block e_- by e_+ gives two excited states with energy $E = 4 + 4U(l)$. One starts with

$$\begin{aligned} |\Psi, \pm\rangle &= \sum_{j=1}^N O_j^+ |E = 0; \pm\rangle \\ &= 2\mathcal{N}_\pm \sum_{i_1 \dots i_N = \pm} g_{i_1} \dots g_{i_{j-1}} e_{+} g_{i_{j+1}} \dots g_{i_N}, \end{aligned}$$

and constructs $K = \pi$ states as follows:

$$|E = 4 + 4U(l), \pm\rangle = |\Psi, \pm\rangle - T|\Psi, \pm\rangle, \quad (21)$$

where T translates the system by one site. Finally, by introducing both one e_- block and one e_+ block results in the states $|\Psi', \pm\rangle$,

$$|\Psi', \pm\rangle = \sum_{j=1}^N O_j^+ |E = 4; \pm\rangle.$$

From these, one obtains two $K = 0$ states with energy $E = 8 + 4U(l)$,

$$|E = 8 + 4U(l), \pm\rangle = |\Psi', \pm\rangle + T|\Psi', \pm\rangle. \quad (22)$$

In Appendix A, we prove that the states $|E = 4; \pm\rangle$ are indeed exact excited states of the Hamiltonian. The proof for the other states works in a similar way.

III. THE THREE-STATE CLOCK MODEL

The construction of the PE line can be generalized to three-state clock or Potts-type models [18]. The Hamiltonian of the three-state clock model, which is a generalization of the transverse field Ising model, is

$$H = - \sum_{j=1}^{L-1} (X_j^\dagger X_{j+1} + \text{H.c.}) - \sum_{j=1}^L (f Z_j^\dagger + \text{H.c.}) \quad (23)$$

To each site, one associates a three-dimensional Hilbert space, $|n\rangle$ with $n = 0, 1, 2$ taken modulo 3. The clock operators Z and X act as $Z|n\rangle = \omega^n |n\rangle$ with $\omega = \exp(i\frac{2\pi}{3})$ and $X|n\rangle = |n-1\rangle$. These operators satisfy $X^3 = Z^3 = \mathbf{1}$, $X^2 = X^\dagger$, $Z^2 = Z^\dagger$, and $XZ = \omega ZX$. Although this model is not solvable, in general, it is known that for $|f| < 1$ this model has three degenerate ground states (a weak zero mode [10]), while for $|f| > 1$ it shows a paramagnetic behavior; the behavior of the critical point at $f = 1$ is described by the \mathbb{Z}_3 parafermion CFT, see Ref. [30].

The clock model Hamiltonian commutes with the parity operator which is now defined as $P = \prod_{j=1}^L Z$, hence Hamiltonian is \mathbb{Z}_3 symmetric. Therefore, states can be labeled with their parity eigenvalue, $P = \omega^Q$, in which Q could be 0, 1 or 2 since $P^3 = \mathbf{1}$. The phase diagram of this model and in particular its chiral generalization [31] was recently investigated [10,32]; in particular, the presence and stability of parafermionic zero modes was studied. There is consensus that the chiral Potts model hosts a strong Parafermionic zero mode at $\theta = \pi/6$, but the nature of the zero mode at generic angles is under debate [32,33].

Apart from the integrable points of the model [34], the clock model has not been solved. Recently, Iemini *et al.* [35] found a generalization of the model for which the ground state is exactly three-fold degenerate along a specific line; moreover, these ground states have a matrix-product form which becomes simple in terms of Fock parafermions [36]. Here, we consider a generalization of the Potts model with fine-tuned couplings, such that the ground states can be written as a product state, in direct analogy with the PE line for the spin-1/2 case.

A. Construction of the generalized Potts model

We use the method [19] that we outlined in the previous section. One first needs to establish which terms to add to the Hamiltonian Eq. (23), in analogy to the Hubbard-U term present in Eq. (2). It turns out that one needs both the terms $Z_j Z_{j+1}$ and $Z_j Z_{j+1}^\dagger$. With these terms, we consider the following two-site Hamiltonian in Eq. (1),

$$h_{j,j+1}^{Z_3}(r) = [-X_j^\dagger X_{j+1} - f(r)(Z_j + Z_{j+1}) - g_1(r)Z_j Z_{j+1} - g_2(r)Z_j Z_{j+1}^\dagger + \text{H.c.}] + \epsilon(r), \quad (24)$$

where $\epsilon(r) = 2(1+r+r^2)/(9r^2)$. We find that the the following parameters are required to construct a PE line:

$$f(r) = (1+2r)(1-r^3)/(9r^2), \quad (25)$$

$$g_1(r) = -2(1-r)^2(1+r+r^2)/(9r^2), \quad (26)$$

$$g_2(r) = (1-r)^2(1-2r-2r^2)/(9r^2), \quad (27)$$

where $r > 0$ and $r = 1$ corresponds to the noninteracting model, see also Ref. [37]. Note that as for the PE line, the ‘‘magnetic field’’ term is half as strong on the boundary sites in comparison to the bulk sites. This model has three exactly degenerate ground states with zero energy, the latter due to the explicit energy shift $\epsilon(r)$. These ground states can, by construction, be written as product states that take the form

$$|G_0(r)\rangle = (|0\rangle + r|1\rangle + r|2\rangle)^{\otimes L}, \quad (28)$$

$$|G_1(r)\rangle = (|0\rangle + r\omega|1\rangle + r\bar{\omega}|2\rangle)^{\otimes L}, \quad (29)$$

$$|G_2(r)\rangle = (|0\rangle + r\bar{\omega}|1\rangle + r\omega|2\rangle)^{\otimes L}. \quad (30)$$

These product states can be combined to form orthonormal parity eigenstates,

$$\begin{aligned} |E=0;1\rangle &= \mathcal{N}_1(|G_0(r)\rangle + |G_1(r)\rangle + |G_2(r)\rangle), \\ |E=0;\omega\rangle &= \mathcal{N}_\omega(|G_0(r)\rangle + \bar{\omega}|G_1(r)\rangle + \omega|G_2(r)\rangle), \end{aligned} \quad (31)$$

$$|E=0;\bar{\omega}\rangle = \mathcal{N}_{\bar{\omega}}(|G_0(r)\rangle + \omega|G_1(r)\rangle + \bar{\omega}|G_2(r)\rangle), \quad (32)$$

where

$$\mathcal{N}_1 = [3(1+2r^2)^L + 6(1-r^2)^L]^{-\frac{1}{2}}, \quad (33)$$

$$\mathcal{N}_{\omega,\bar{\omega}} = [3(1+2r^2)^L - 3(1-r^2)^L]^{-\frac{1}{2}}. \quad (34)$$

These states are labeled by their energy and their ‘‘parity’’ eigenvalue of P .

B. Completely local edge modes

As was the case for the PE line of the spin-1/2 model, one can explicitly construct edge operators for the open chain. For $r = 1$, the couplings f, g_1, g_2 are zero and we are left with $h_{j,j+1}^{Z_3}(1) = -X_j X_{j+1}^\dagger + \text{H.c.}$ To find the zero-mode operators in this limit, one uses the Fradkin-Kadanoff transformation [38] to transform the clock degrees of freedom

to parafermions $\eta_{A,j}$ and $\eta_{B,j}$,

$$\eta_{A,j} = \left(\prod_{k<j} Z_k \right) X_j, \eta_{B,j} = \omega \left(\prod_{k<j} Z_k \right) X_j Z_j. \quad (35)$$

These operators satisfy

$$\eta_{A,j}^3 = \eta_{B,j}^3 = \mathbf{1}, \quad (36)$$

$$\eta_{x,j}^2 = \eta_{x,j}^\dagger, \quad (37)$$

$$\eta_{x,j} \eta_{x',j'} = \omega^{\text{sgn}(j'-j)} \eta_{x',j'} \eta_{x,j} \quad \text{if } j \neq j', \quad (38)$$

$$\eta_{A,j} \eta_{B,j} = \omega \eta_{B,j} \eta_{A,j}, \quad (39)$$

where x, x' are A or B . One finds that the Hamiltonian does not depend on two of the parafermions [10], namely,

$$\eta_{A,1} = X_1, \quad \eta_{B,L} = \bar{\omega} P X_L. \quad (40)$$

These operators obey the parafermion algebra, $\eta_{A,1}^3 = \eta_{B,L}^3 = \mathbf{1}$ and $\eta_{A,1} \eta_{B,L} = \omega \eta_{B,L} \eta_{A,1}$. To find edge modes for arbitrary r , we first note that $\eta_{A,1}$ and $\eta_{B,L}$ act on the ground-state space $\{|E=0;1\rangle, |E=0;\omega\rangle, |E=0;\bar{\omega}\rangle\}$ (with $r=1$) as X and $\bar{\omega} Z X$. To generalize these operators to arbitrary r , it is useful to consider the generalization of the ladder operators for $SU(2)$ spins, namely,

$$\Sigma^0 = \frac{X}{3}(\mathbf{1} + Z + Z^\dagger), \quad (41)$$

$$\Sigma^1 = \frac{X}{3}(\mathbf{1} + \bar{\omega} Z + \omega Z^\dagger), \quad (42)$$

$$\Sigma^2 = \frac{X}{3}(\mathbf{1} + \omega Z + \bar{\omega} Z^\dagger). \quad (43)$$

One checks that $\Sigma^0|0\rangle = |2\rangle$, $\Sigma^1|1\rangle = |0\rangle$ and $\Sigma^2|2\rangle = |1\rangle$, while all the other matrix elements are zero.

The edge operators that act in the same way as $\eta_{A,1}$ and $\eta_{B,L}$ for arbitrary r can be written in terms of the Σ^α 's as

$$A_{Z_3}(r) = \frac{1}{r} \Sigma_1^1 + \Sigma_1^2 + r \Sigma_1^0, \quad (44)$$

$$B_{Z_3}(r) = \bar{\omega} P \left(\frac{1}{r} \Sigma_L^1 + \Sigma_L^2 + r \Sigma_L^0 \right). \quad (45)$$

One can check that

$$A_{Z_3}|1\rangle = \frac{\mathcal{N}_1}{\mathcal{N}_{\bar{\omega}}} |\bar{\omega}\rangle, A_{Z_3}|\omega\rangle = \frac{\mathcal{N}_\omega}{\mathcal{N}_1} |1\rangle, A_{Z_3}|\bar{\omega}\rangle = |\omega\rangle, \quad (46)$$

$$B_{Z_3}|1\rangle = \omega \frac{\mathcal{N}_1}{\mathcal{N}_{\bar{\omega}}} |\bar{\omega}\rangle, B_{Z_3}|\omega\rangle = \bar{\omega} \frac{\mathcal{N}_\omega}{\mathcal{N}_1} |1\rangle, B_{Z_3}|\bar{\omega}\rangle = |\omega\rangle, \quad (47)$$

where $|1, \omega, \bar{\omega}\rangle$ stand for $|E=0;1, \omega, \bar{\omega}\rangle$. Although these operators obey the relations $(A_{Z_3})^3 = (B_{Z_3})^3 = \mathbf{1}$ and $A_{Z_3} B_{Z_3} = \omega B_{Z_3} A_{Z_3}$, they are not parafermions, because for instance $A_{Z_3}^\dagger \neq (A_{Z_3})^2$, and likewise for B_{Z_3} . The situation we encounter here is analogous to the spin-1/2 PE line. If one tries to construct completely local parafermion operators, one finds that one of the necessary relations is not satisfied. Despite that, the operators $A_{Z_3}(r)$ and $B_{Z_3}(r)$ are exact zero modes. However, it is worthwhile to mention that as in the Z_2 case,

in the thermodynamic limit the ratio $\frac{N_\omega}{N_1}$ approaches 1 and we obtain weak parafermionic zero modes.

The operators $A_{Z_3}(r)$ and $B_{Z_3}(r)$, Eqs. (44) and (45), are defined on the first and last site, respectively. As was the case for the spin-1/2 EP-line, these operators could have been defined on any site, without changing the way they permute the different ground states. However, if one uses the Fradkin-Kadanoff transformation [38], Eq. (35), only the operators Eqs. (44) and (45) become local parafermion operators. In Sec. III D below, we numerically show that the model Eq. (24) has a gap between the three-fold degenerate ground states and the excited states. Together this implies that that model, in its parafermionic representation, lies within a topological phase for finite values of the parameter r .

In the spin-1/2 case, it was possible to construct exponentially localized Majorana operators, that do satisfy the correct algebra for arbitrary finite system size. It is tempting to try to do the same thing for the current Z_3 case. It turns out that this is hard. Even constructing parafermion operators for a system with only two sites is much harder than it looks at first sight. In Appendix B, we construct the most general, two-site parafermion operator, that satisfies all the required properties. Given the complexity of the two-site problem, we do not discuss longer chains.

C. Exact excited states

As was the case for the spin-1/2 PE line, one can construct exact excited states in case of a system with an even number of sites $L = 2N$ with periodic boundary conditions. We write the ground state and two excited states of $h_{j,j+1}^{Z_3}$ explicitly, because they are the building blocks of our construction,

$$|g_1\rangle = |00\rangle + r^2|12\rangle + r^2|21\rangle, \quad (48)$$

$$|g_\omega\rangle = r^2|22\rangle + r|01\rangle + r|10\rangle, \quad (49)$$

$$|g_{\bar{\omega}}\rangle = r^2|11\rangle + r|02\rangle + r|20\rangle, \quad (50)$$

$$|e_\omega\rangle = 3r(|01\rangle - |10\rangle), \quad (51)$$

$$|e_{\bar{\omega}}\rangle = 3r(|02\rangle - |20\rangle), \quad (52)$$

where $g_{1,\omega,\bar{\omega}}$ have energy 0 and $e_{\omega,\bar{\omega}}$ have energy $2 + r$. The excited states are obtained by acting with the operator $O = Z_1 - Z_2 + \text{H.c.}$ on the ground states, namely,

$$O|g_1\rangle = 0, \quad O|g_\omega\rangle = |e_\omega\rangle, \quad O|g_{\bar{\omega}}\rangle = |e_{\bar{\omega}}\rangle. \quad (53)$$

We can rewrite the three ground states in terms of these blocks,

$$|E = 0; 1, \omega, \bar{\omega}\rangle = 3\mathcal{N}_{1,\omega,\bar{\omega}} \sum_{i_1 \dots i_N=1,\omega,\bar{\omega}} g_{i_1} g_{i_2} \dots g_{i_{N-1}} g_{i_N}, \quad (54)$$

where the sum is over all 3^{N-1} configurations with $i_j = 1, \omega, \bar{\omega}$, and fixed overall ‘‘parity.’’ There are three exact excited state with energy $\Delta E = 2(2 + r)$ and momentum $K = \pi$ along, which can be constructed by acting with the

operator $O_{\text{tot}} = \sum_{j=1}^N Z_{2j-1} - Z_{2j} + \text{H.c.}$,

$$\begin{aligned} |E = 2(r + 2); \omega, \bar{\omega}\rangle \\ = \sum_{j=1}^N (Z_{2j-1} - Z_{2j} + \text{H.c.}) |E = 0; 1, \omega, \bar{\omega}\rangle. \end{aligned} \quad (55)$$

Effectively, the operator replaces one of ‘‘ g_i -blocks’’ by an ‘‘ e_i -block’’ with the same parity ω or $\bar{\omega}$, and summing over the possible positions of these blocks.

D. Numerical results

In this section we present our numerical study of the model, in particular we study the energy gap using DMRG [39,40], making use of the ALPS libraries [41–43]. From this study, we conclude that the Z_3 model, Eq. (24), is gapped, in analogy to the Z_2 case.

Since the first three states are degenerate with zero energy, we need to determine the energy of the lowest four eigenstates. Even though this is quite demanding, we were able to do so using ALPS. We performed DMRG calculations to find the gap of the Z_3 model, Eq. (24), for $L = 100$ sites with open (free) boundary conditions. We keep up to $\chi = 100$ states in the Schmidt decomposition provided their Schmidt eigenvalues are all larger than 10^{-10} and we perform three sweeps. To check convergence, we also considered $\chi = 200$ and found that the energies were within the current numerical errors. Based on our numerical results, the first three states have energy of the order 10^{-10} , which shows that the energy for these (exactly) zero energy states is well converged. We obtained the energy gap Δ , i.e., the gap to the fourth eigenstate, with an error of the order of 10^{-4} . The finite size gap for $L = 100$ is presented in Fig. 1, for $1.00 \leq r \leq 3.00$.

To establish the existence of a gap in the thermodynamic limit, we study the size dependence of the gap. The exact solution for the (noninteracting) transverse field Ising model shows that the finite size gap converges to its thermodynamic value as L^{-2} in the ordered phase. We checked that for the

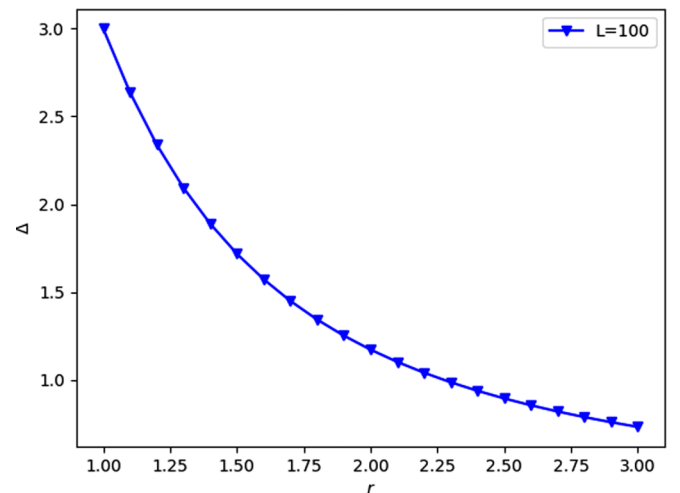


FIG. 1. The bulk gap of the model in Eq. (24) as a function of r . We performed DMRG for $L = 100$.

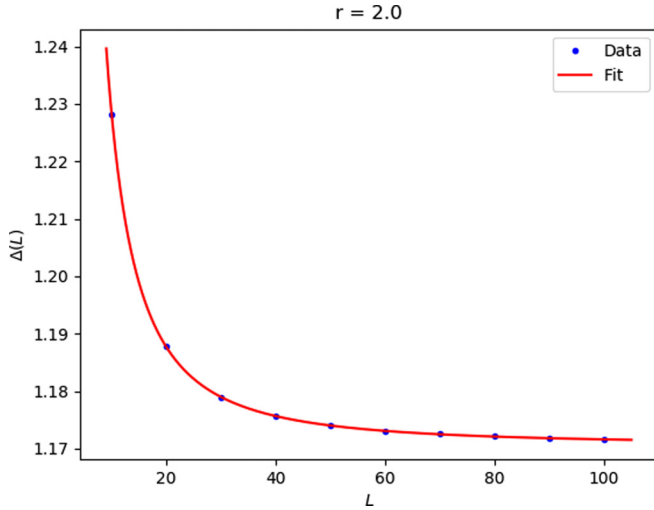


FIG. 2. The bulk gap of the model in Eq. (24) as a function of r . We performed DMRG for $L = 100$. We plot data and the curve $\Delta(L) = 3.236L^{-1.751} + 1.1706$. The error for each gap point is 10^{-4} .

PE line the gap saturates to its thermodynamic value as $L^{-\alpha}$ where α is very close to 2.

We numerically determined the gap along the Z_3 line for different system sizes up to $L = 100$. We fitted a power-law function, $\Delta(L) = aL^{-b} + \Delta_\infty$ (in analogy with the Z_2 case). The data and the fitted curve for $r = 2.0$ are presented in Fig. 2. The gap decays as $L^{-1.75}$ to its thermodynamic value $\Delta_\infty = 1.171$. Recent results on the gap of frustration-free models show that if the gap decays to a finite value faster than $L^{-3/2}$, the model is gapped [44], including our model Eq. (24).

As we mentioned above, the error in the energy is of the order 10^{-4} in our calculation. The difference between the gap for $L = 90$ and $L = 100$ is 2×10^{-4} , which shows that the energy has basically converged to its final value within our precision. We also checked that the gap converges to a finite nonzero value with the same behavior and $b \approx 1.75$ in the range $1.00 < r < 3.00$.

We numerically found that the gap decreases as r increases, in analogy to the PE line. As it was pointed out in the previous studies [45], in the large l limit of the PE line, where the U and h couplings dominate the Hamiltonian, the PE line reaches a multicritical point. At this point the ground-state degeneracy grows exponentially with the system size. To see this, following Ref. [45], we rewrite $h_{j,j+1}^{\text{PE}}(l)$ for large l ,

$$\lim_{l \rightarrow \infty} h_{j,j+1}^{\text{PE}}(l) = \frac{e^l}{4} (\sigma_j^z + \mathbf{1})(\sigma_{j+1}^z + \mathbf{1}). \quad (56)$$

For this Hamiltonian any state which does not have two adjacent spins in the $+z$ direction is a ground state, explaining the exponential degeneracy of the ground state with system size.

The same thing happens along the Z_3 line. In the large r limit we can rewrite the Hamiltonian as

$$\lim_{r \rightarrow \infty} h_{j,j+1}^{Z_3}(r) = \frac{2}{9} r^2 (\mathbf{1} + Z_j + Z_j^\dagger)(\mathbf{1} + Z_{j+1} + Z_{j+1}^\dagger). \quad (57)$$

Similar to the PE line in this limit any state which does not have two adjacent “spins” in the $n = 0$ state, is a ground state. Therefore, we conclude that our model has a multicritical point for $r \rightarrow \infty$.

IV. SPIN- S PESCHEL-EMERY LINE

We study the spin- S generalization of the PE line, which has been investigated previously [45–48]. Here we present the exact ground states, which again are product states, as well as exact, local edge modes and two exact excited states. The Hamiltonian for this model is

$$h_{j,j+1}^{S\text{-PE}} = -S_j^x S_{j+1}^x + \frac{h(l)}{2} S(S_j^z + S_{j+1}^z) + U(l) S_j^z S_{j+1}^z + S^2(U(l) + 1), \quad (58)$$

in which S^α are spin- S operators of $SU(2)$. The parameters $U(l) = \frac{1}{2}[\cosh(l) - 1]$ and $h(l) = \sinh(l)$, are the same as the PE line couplings in Eq. (2). We note that in the $S = 1/2$ case, the Hamiltonian Eq. (58) is $\frac{1}{4}$ times $h_{j,j+1}^{\text{PE}}$ [see Eq. (2)], which is written in terms of Pauli operators instead of spin-1/2 operators.

The Hamiltonian Eq. (58) commutes with the “parity” of the magnetization, $P_M = \prod_{j=1}^L e^{i\pi(S - S_j^z)}$, because the operators $S_j^x S_{j+1}^x$ either change the magnetization by two units, or leave it unchanged.

The model has two exactly degenerate ground states for arbitrary l , which can be written as product states, similar to the Z_2 and Z_3 -clock model cases. These two ground states are

$$|\psi_{S,1}(l)\rangle = (e^{\alpha S^-} |S\rangle_z)^{\otimes L} \quad |\psi_{S,2}(l)\rangle = (e^{-\alpha S^-} |S\rangle_z)^{\otimes L}, \quad (59)$$

where $\alpha = \exp(\frac{l}{2})$ and $|S\rangle_z$ is the $S^z = S$ eigenstate, i.e., $S^z |S\rangle_z = S |S\rangle_z$. The states $|\psi_{S,1}(l)\rangle$ and $|\psi_{S,2}(l)\rangle$ are not parity eigenstates, but these can be constructed as

$$|E = 0; \pm\rangle = (|\psi_{S,1}(l)\rangle \pm |\psi_{S,2}(l)\rangle)/2. \quad (60)$$

As in the previous cases, these states are exact ground states for both the open and periodic chains, with the energy per bond given by $\epsilon_S(l) = 0$.

Following the Z_2 case we can define local edge operators,

$$A_S(l) = \frac{1}{2S} \left(\frac{1}{\alpha} S_1^+ + \alpha S_1^- \right), \quad (61)$$

$$B_S(l) = -\frac{i}{2S} P_M \left(\frac{1}{\alpha} S_L^+ + \alpha S_L^- \right). \quad (62)$$

For $S = 1/2$, these operators reduce to $A_{\frac{1}{2}}(l)$ and $B_{\frac{1}{2}}(l)$ in Eq. (7). They act like S^z and $-S^y$ on the ground states $\{|\psi_{S,1}(l)\rangle, |\psi_{S,2}(l)\rangle\}$.

In the case of periodic boundary conditions, it is possible to write exact excited states of the model Eq. (58). These excited states are constructed from the ground states of the model with two sites as before. The ground states $|g_\pm\rangle$ with parities $P_M = \pm 1$ of the two site model are obtained by acting on $|S, S\rangle$ as

$$|g_\pm\rangle = [e^{\alpha(S_1^- + S_2^-)} \pm e^{-\alpha(S_1^- + S_2^-)}] |S, S\rangle. \quad (63)$$

There are two parity eigenstates $|e_{\pm}\rangle$ with energy $E = S$, which can be obtained from the ground states

$$|e_{\pm}\rangle = (S_1^z - S_2^z)|g_{\pm}\rangle. \quad (64)$$

We note that we assumed that $S > 1/2$ here, because for $S = 1/2$, we have $(S_1^z - S_2^z)|g_{+}\rangle = 0$, in agreement with results for the \mathbb{Z}_2 case discussed above.

To find the two exact excited states of the system with length $L = 2N$, we first rewrite the ground states of the $L = 2N$ site chain in terms of the g_{\pm} , similar to Eq. (16),

$$|E = 0; \pm\rangle = \sum_{i_1 \cdots i_N = \pm} g_{i_1} g_{i_2} \cdots g_{i_{N-1}} g_{i_N}, \quad (65)$$

where the sum is again over all 2^{N-1} configurations $i_j = \pm$, with fixed total parity of the magnetization. These states are ground states for both the open and periodic cases, with momentum $K = 0$. From these $K = 0$ states, one obtains $K = \pi$, parity eigenstates with energy $E = 2S$, by replacing the one of “ g_i -blocks” by an “ e_i -block” with the same parity, and summing over the position, again in analogy with the spin-1/2 case,

$$|E = 2S; \pm\rangle = \sum_{j=1}^N (S_{2j-1}^z - S_{2j}^z)|E = 0; \pm\rangle. \quad (66)$$

V. DISCUSSION

We considered one-dimensional models for which the ground states and a few excited states can be obtained analytically. These models are inspired by the Peschel-Emery line [17], of the interacting transverse field Ising model (or, in its fermionic incarnation, Kitaev’s Majorana chain in the presence of a Hubbard interaction). In particular, we constructed a direct analog of the PE line, starting from the three-state clock/Potts model, by introducing two types of additional interaction terms.

For the resulting one-parameter family of models, the threefold degenerate ground states can be written in product form. In addition, we found a triple of excited states that can be obtained analytically. More importantly, we constructed completely local operators, that permute the parity ground states. These operators almost satisfy the parafermion relations, the only requirement missing is that they are not unitary. Although we believe it should be possible to construct (exponentially) localized parafermion operators, we only succeeded in constructing these for the two-site problem, where they already are quite complicated.

The model studied in this paper behaves in close analogy to the model considered recently by Iemini *et al.* [35]. It would be interesting to see if both models can be obtained from a more general model. For instance, it is interesting to note [49] that the construction of the local operators that permute the ground states can be extended to the model of Ref. [35].

In addition to the results for the three-state clock-type models, we also considered an arbitrary spin- S version of the Peschel-Emery line.

There has been a lot of interest in clock/Potts-type models recently, both the chiral as well as the nonchiral versions. It was only rather recently that the phase-diagram of the chiral

three-state Potts model has been investigated in detail [31]. The additional “interaction” terms that we needed to consider, namely $Z_j Z_{j+1} + \text{H.c.}$ and $Z_j Z_{j+1}^\dagger + \text{H.c.}$ have not attracted much attention yet, but they were considered before [50,51] in somewhat different contexts. Investigating the phase diagram of the more general model,

$$H = \sum_j -X_j X_{j+1}^\dagger + f Z_j + g Z_j Z_{j+1} + g' Z_j Z_{j+1}^\dagger + \text{H.c.}$$

would be very interesting, both in the chiral as well as the nonchiral case [52]. Finally, it would be interesting to investigate the relation with parafermionic topological phases, which have attracted quite some attention during the recent years; see for instance, Refs. [32,53,54].

The interacting transverse field Ising model, Eq. (2), for general h and U , is related to the axial next-nearest neighbour Ising (ANNNI) model, whose phase diagram has been studied thoroughly [55]. Those studies are related to the large s limit of the PE line and its dual version. The phase diagram of ANNNI model is quite rich and has, for instance, an incommensurate phase. As we showed in Sec. III D, the large l limit of the \mathbb{Z}_3 line also has a multicritical point. In this light, it would be interesting to study the phase diagram of \mathbb{Z}_3 model and its dual.

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APPENDIX A: EXACT EXCITED STATES ALONG THE PE-LINE

In this Appendix, we prove that the states Eq. (20), $|E = 4, \pm\rangle$, are indeed exact excited states of the Peschel-Emery Hamiltonian for the case with periodic boundary conditions and an even number of sites, $L = 2N$. We recall that

$$\begin{aligned} |E = 4, \pm\rangle &= O_{\text{tot}}^- |E = 0; \pm\rangle \\ &= 4\mathcal{N}_{\pm} \sum_{j=1}^N \sum_{i_1 \cdots i_N = \pm} g_{i_1} \cdots g_{i_{j-1}} e_{-g_{i_j}} \cdots g_{i_N}, \end{aligned}$$

where we introduced the notation $O_{\text{tot}}^- = \sum_{j=1}^N O_j^-$. We then have that

$$\begin{aligned} H|E = 4, \pm\rangle &= H O_{\text{tot}}^- |E = 0, \pm\rangle \\ &= O_{\text{tot}}^- H|E = 0, \pm\rangle + [H, O_{\text{tot}}^-]|E = 0, \pm\rangle \\ &= [H, O_{\text{tot}}^-]|E = 0, \pm\rangle. \end{aligned}$$

It is straightforward to evaluate the commutator

$$\begin{aligned} [H, O_{\text{tot}}^-] &= -2i(+ \sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x \\ &\quad - \sigma_2^x \sigma_3^y + \sigma_2^y \sigma_3^x \\ &\quad \vdots \\ &\quad - \sigma_L^x \sigma_1^y + \sigma_L^y \sigma_1^x). \end{aligned}$$

To find the action of the commutator on the ground state, we need to know

$$\begin{aligned} -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | \uparrow \uparrow \rangle &= 0, \\ -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | \downarrow \downarrow \rangle &= 0, \\ -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | \uparrow \downarrow \rangle &= -4 | \downarrow \uparrow \rangle, \\ -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | \downarrow \uparrow \rangle &= 4 | \uparrow \downarrow \rangle, \end{aligned}$$

resulting in

$$\begin{aligned} -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | g_+ \rangle &= 0, \\ -2i(\sigma_1^x \sigma_2^y - \sigma_1^y \sigma_2^x) | g_- \rangle &= 4 | e_- \rangle. \end{aligned}$$

This in turn means that

$$\begin{aligned} H | E = 4, \pm \rangle &= 8 \mathcal{N}_\pm (\mathbf{1} - T) \\ &\times \sum_{j=1}^N \sum_{i_1 \dots i_N = \pm} g_{i_1} \dots g_{i_{j-1}} e^{-g_{i_j}} \dots g_{i_N}, \end{aligned}$$

where T is the operator that translates the system by one site and we do not sum over i_j . Because

$$\sum_{j=1}^N \sum_{i_1 \dots i_N = \pm} g_{i_1} \dots g_{i_{j-1}} e^{-g_{i_j}} \dots g_{i_N}$$

is a state with momentum $K = \pi$, as can be verified directly, it follows that

$$H | E = 4, \pm \rangle = 4 | E = 4, \pm \rangle, \quad (\text{A1})$$

which we wanted to show. That the other states given in the main text also are exact excited states can be verified in a similar manner.

APPENDIX B: TWO-SITE PARAFERMION OPERATOR

In this Appendix, we construct the most general parafermion operator that permutes the three parity ground states Eq. (31) of the model Eq. (24), for arbitrary parameter $r > 0$. We write this operator in the basis $\{|00\rangle, |01\rangle, |02\rangle, |10\rangle, \dots, |22\rangle\}$. The operator $O(r)$ we are after should change the sectors as

$$\begin{aligned} O(r) | E = 0; 1 \rangle &= | E = 0; \bar{\omega} \rangle, \\ O(r) | E = 0; \omega \rangle &= | E = 0; 1 \rangle, \\ O(r) | E = 0; \bar{\omega} \rangle &= | E = 0; \omega \rangle, \end{aligned} \quad (\text{B1})$$

which is how X_1 acts in the case $r = 1$. This means that $O(r)$ should consist of operators of the form $X_1, X_2, X_1^\dagger X_2^\dagger, Z_1 X_1$, etc. In total, there are 27 such operators. Alternatively, there are 27 nonzero entries in the matrix representation of $O(r)$. We present the operator in terms of the latter. A convenient labeling turns out to be

$$O(r) = \begin{pmatrix} 0 & b_{2,3} & 0 & b_{1,3} & 0 & 0 & 0 & 0 & b_{3,3} \\ 0 & 0 & c_{1,2} & 0 & c_{3,2} & 0 & c_{2,2} & 0 & 0 \\ a_{3,1} & 0 & 0 & 0 & 0 & a_{2,1} & 0 & a_{1,1} & 0 \\ 0 & 0 & c_{1,1} & 0 & c_{3,1} & 0 & c_{2,1} & 0 & 0 \\ a_{3,3} & 0 & 0 & 0 & 0 & a_{2,3} & 0 & a_{1,3} & 0 \\ 0 & b_{2,2} & 0 & b_{1,2} & 0 & 0 & 0 & 0 & b_{3,2} \\ a_{3,2} & 0 & 0 & 0 & 0 & a_{2,2} & 0 & a_{1,2} & 0 \\ 0 & b_{2,1} & 0 & b_{1,1} & 0 & 0 & 0 & 0 & b_{3,1} \\ 0 & 0 & c_{1,3} & 0 & c_{3,3} & 0 & c_{2,3} & 0 & 0 \end{pmatrix}. \quad (\text{B2})$$

Because it is possible in the Z_2 case to write the corresponding operator using real parameters, we make the same assumption here. Apart from the conditions Eq. (B1), the operator O should satisfy $O(r)^\dagger = O(r)$ and $O(r)^3 = 1$. The former condition means that the parameters $a_{i,j}$, $b_{i,j}$ and $c_{i,j}$ form three sets of three orthonormal vectors. So if $\vec{a}_1 = (a_{1,1}, a_{1,2}, a_{1,3})^T$ etc., we have $\vec{a}_i^T \cdot \vec{a}_j = \delta_{i,j}$ and similar for the other two sets. Each of these three sets is constrained by one of the equations in Eq. (B1). In particular, the vectors lie on the intersection of a sphere and a plane; for each set of orthonormal vectors, there are two such planes. The structure of the constraints Eq. (B1) is such that their is a solution. In fact, for each set of orthonormal vectors, the solution is parametrized by an angle. Explicitly, these solutions take the form (using the parameters $c = \sqrt{1 + 2r^4}$ and $d = \sqrt{2r^2 + r^4}$)

$$\begin{aligned} a_{1,1} &= \frac{2r^3 + (cd + r^2) \cos(\phi_1) + (-d + cr^2) \sin(\phi_1)}{2cd}, & a_{1,2} &= \frac{2r^3 + (-cd + r^2) \cos(\phi_1) + (d + cr^2) \sin(\phi_1)}{2cd}, \\ a_{1,3} &= -\frac{r[-r^3 + \cos(\phi_1) + c \sin(\phi_1)]}{cd}, & a_{2,1} &= \frac{2r^3 + (-cd + r^2) \cos(\phi_1) - (d + cr^2) \sin(\phi_1)}{2cd}, \\ a_{2,2} &= \frac{2r^3 + (cd + r^2) \cos(\phi_1) + (d - cr^2) \sin(\phi_1)}{2cd}, & a_{2,3} &= \frac{r[r^3 - \cos(\phi_1) + c \sin(\phi_1)]}{cd}, \\ a_{3,1} &= \frac{r[1 - r^3 \cos(\phi_1) + dr \sin(\phi_1)]}{cd}, & a_{3,2} &= -\frac{r[-1 + r^3 \cos(\phi_1) + dr \sin(\phi_1)]}{cd}, \\ a_{3,3} &= \frac{r^2[1 + 2r \cos(\phi_1)]}{cd}, & b_{1,1} &= \frac{2r^3 + (cd + r^2) \cos(\phi_2) + (d - cr^2) \sin(\phi_2)}{2cd}, \end{aligned}$$

$$\begin{aligned}
b_{1;2} &= \frac{2r^3 + (-cd + r^2)\cos(\phi_2) + (d + cr^2)\sin(\phi_2)}{2cd}, & b_{1;3} &= -\frac{r[-1 + r^3\cos(\phi_2) + dr\sin(\phi_2)]}{cd}, \\
b_{2;1} &= \frac{2r^3 + (-cd + r^2)\cos(\phi_2) - (d + cr^2)\sin(\phi_2)}{2cd}, & b_{2;2} &= \frac{2r^3 + (cd + r^2)\cos(\phi_2) + (-d + cr^2)\sin(\phi_2)}{2cd}, \\
b_{2;3} &= \frac{r[1 - r^3\cos(\phi_2) + dr\sin(\phi_2)]}{cd}, & b_{3;1} &= \frac{r[r^3 - \cos(\phi_2) + c\sin(\phi_2)]}{cd}, \\
b_{3;2} &= -\frac{r[-r^3 + \cos(\phi_2) + c\sin(\phi_2)]}{cd}, & b_{3;3} &= \frac{r^2[1 + 2r\cos(\phi_2)]}{cd}, \\
c_{1;1} &= \frac{r^2[1 + (1 + r^2)\cos(\phi_3)]}{d^2}, & c_{1;2} &= \frac{r^2[1 - \cos(\phi_3) + d\sin(\phi_3)]}{d^2}, & c_{1;3} &= \frac{r[r^2 - r^2\cos(\phi_3) - d\sin(\phi_3)]}{d^2}, \\
c_{2;1} &= -\frac{r^2[-1 + \cos(\phi_3) + d\sin(\phi_3)]}{d^2}, & c_{2;2} &= \frac{r^2[1 + (1 + r^2)\cos(\phi_3)]}{d^2}, \\
c_{2;3} &= \frac{r[r^2 - r^2\cos(\phi_3) + d\sin(\phi_3)]}{d^2}, & c_{3;1} &= \frac{r[r^2 - r^2\cos(\phi_3) + d\sin(\phi_3)]}{d^2}, \\
c_{3;2} &= \frac{r[r^2 - r^2\cos(\phi_3) - d\sin(\phi_3)]}{d^2}, & c_{3;3} &= \frac{r^2[r^2 + 2\cos(\phi_3)]}{d^2}.
\end{aligned}$$

Finally, the condition $O(r)^3 = 1$ leads to the constraint that $\phi_1 + \phi_2 + \phi_3 = 0$. This leaves a two-parameter family of solutions for the operator $O(r)$. There are three rather special solutions, namely $\phi_1 = \phi_2 = \phi_3 = -2\pi/3, 0, 2\pi/3$. In the limit $r = 1$, when the model reduces to $h_{j,j+1}^{\mathbb{Z}_3} = [-X_j X_{j+1}^\dagger + \text{H.c.}] + 2$, the operator $O(1)$ becomes $X_1, X_1^\dagger X_2^\dagger, X_2$ in these three cases, respectively. One could hope that the form of $O(r)$ in the two cases $\phi_1 = \phi_2 = \phi_3 = \pm 2\pi/3$ would give a hint for the possible form of two parafermion operators that are exponentially localized at the edges in the case of longer chains. However, the already rather complicated form of this operator in the two-site case makes it hard to guess the general form for larger system sizes.

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