

# Fermi liquid theory: very brief overview

Explains why the 'free electron model' works so well in describing metals, even though Coulomb interaction is typically not negligible.

Free el. gas at  $T=0$ : fill all single particle states up to  $E_F$ .

→ momentum distribution function  $n_0(p) = \theta(p - p_F)$ ,  
or at finite  $T$ :  $n_\beta(p) = \frac{1}{e^{\beta(\epsilon(p) - \epsilon_F)} + 1}$

Landau's idea \*lets turn on interactions adiabatically,  
\* one-to-one correspondence between excitations of free & interacting system.

So, \*in the latter case, there is also a Fermi-surface!

\* excitation can be labeled by same quantum numbers:  
charge, spin, momentum.

Excitations: quasi-particles are comb. of many electrons, or  
'the electrons are dressed'; weakly interacting  
quasiparticles can decay, but their lifetime becomes  
very long close to Fermi surface (small phase space).

In addition, the effective mass,

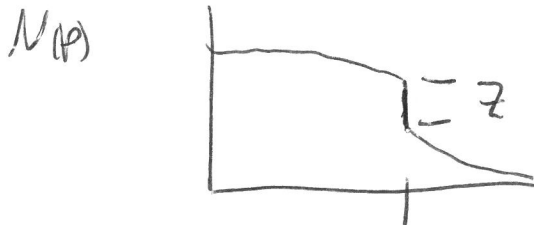
$$v_F \equiv \frac{p_F}{m^*} \neq \frac{p_F}{m_e} \text{ is different from the free case,}$$

This can be observed in  $C_V = \frac{m^* p_F}{3} k_B T$ ,

and for 'heavy fermion' can be large 100 or 1000

(UPt<sub>3</sub>, CeCo<sub>5</sub>)

The momentum distribution in GS: states at  $p > p_F$  can be occupied, even at  $T=0$ : there is a 'step' at  $p_F$ : Fermi surface.



So, there are low lying excitations (quasi-particle, quasi-hole)

Fermi liquid is stable, as long as interactions are repulsive.

However, attractive interactions are possible, leading to ~~an~~ a superconducting state!

Origin of attractive interaction:

electron-phonon coupling.

Time ~~at~~ scale on which an  $e^-$  perturbs an ~~ion~~ ion

$\sim E_F^{-1}$ ; time scale for an ion to relax:  $\omega_D^{-1} \gg E_F^{-1}$

( $\omega_D$  = Debye frequency)

So, a second  $e^-$  sees a slightly different pot. for a long time  $\rightarrow$  attractive interaction, but only for energies within  $\omega_D$  of Fermi energy.

The attraction leads to the formation of 'Cooper pairs', ~~two~~  $e^-$  with opp. momentum, in a singlet.

Some general properties:

\* Persistent currents, w/o. voltage drop  
(as long as  $I < I_c$ )

\* Meissner effect: external magnetic fields are expelled, as long as  $H < H_c$

\* Energy gap  $\Delta$  to excitations above GS (in BCS case).

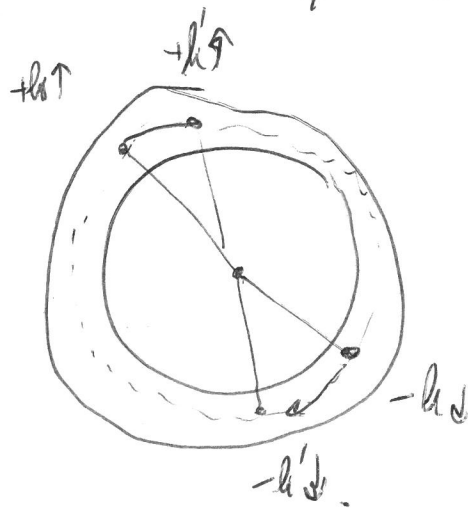
\* In some SC's (type II): vortices can be present if  $H_1 < H < H_2$

Flux is  $\Phi_x = \frac{\phi_0}{2} = \frac{h}{2e} \rightarrow$

$T_c$ : conv. SC:  $\sim 30$  K; ~~the~~ High  $T_c$  materials: 40 or 130 K.

## Formation of 'Cooper pair':

Can occur in window  $\omega_D/4$  around Fermi energy. :



~~Due to~~ There is a large phase space for scattering process  
From  $k \rightarrow k+p=k'$  close to Fermi momentum.

So the effect of even a weak attr. interaction  
can be appreciable.

We will describe this in terms of the BCS  
hamiltonian:

$$H_{BCS} = \sum_{k\sigma} \sum_{\substack{m \\ \downarrow \uparrow}} (E_k - \mu) c_{k\sigma}^\dagger c_{k\sigma} - \frac{g}{\Omega} \sum_{k, k', q} c_{k+q\uparrow}^\dagger c_{-k\downarrow}^\dagger c_{-k'+q\downarrow} c_{k'\uparrow}$$

g > 0

The interaction makes analysis difficult, so we  
will use mean field theory first, then use

path integral formalism to study SC's in presence  
of fields.

Goal of mean-field theory: gap equation.

Assumption:  $|\Omega_0\rangle$  (GS) contains Cooper pairs:

$$\Delta = \frac{g}{L^d} \sum_k \langle \Omega_0 | c_{-k\downarrow} c_{k\uparrow} | \Omega_0 \rangle \neq 0$$

$$\leadsto \bar{\Delta} = \frac{g}{L^d} \sum_k \langle \Omega_0 | c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger | \Omega_0 \rangle \neq 0.$$

So,  $|\Omega_0\rangle$  can not be a state w/ definite number of particles.

$\Delta$  takes role of 'order parameter'!  $\Delta=0$  in normal state  
 $\Delta \neq 0$  in SC.

In a mean field treatment (assuming on-shell scattering,  $q=0$ ),

we write:  $c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger c_{-k\downarrow} c_{k\uparrow} \approx$

$$c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \left( \underbrace{\langle c_{-k\downarrow} c_{k\uparrow} \rangle}_{\frac{\Delta}{g}} + \langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle \right)$$

$$c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \langle c_{-k\downarrow} c_{k\uparrow} \rangle + \langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle c_{-k\downarrow} c_{k\uparrow}$$

$$\bullet \langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle \ll \langle c_{-k\downarrow} c_{k\uparrow} \rangle$$

(up to order  $S$ , drop  $S^2$ )

This gives

$$H_{BCS}^{MF} = \sum_k \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} - (\bar{\Delta} c_{-k\downarrow} c_{k\uparrow} + \Delta c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) + \frac{L^d}{g} |\Delta|^2$$

We see that  $H$  does change # of electrons!

We can use a Bogoliubov transform to diagonalize.

$$\text{So: } \Psi_h^+ = (c_{h\uparrow}^+, c_{-h\downarrow}) \quad \Psi_h = \begin{pmatrix} c_{h\uparrow} \\ c_{-h\downarrow}^+ \end{pmatrix}$$

If we assume  $\Delta$  is real (otherwise, we can do a 'basis transform' to make it real), we get

$$H = \sum_k \Psi_h^+ \begin{bmatrix} \zeta_k & -\Delta \\ -\Delta & -\zeta_k \end{bmatrix} \Psi_h + \sum_k \zeta_k + \frac{L^d |\Delta|^2}{g}$$

To get rid of  $\Delta$  terms:

$$\begin{pmatrix} \alpha_{h\uparrow} \\ \alpha_{-h\downarrow}^+ \end{pmatrix} = \begin{pmatrix} \cos \theta_k & \sin \theta_k \\ -\sin \theta_k & \cos \theta_k \end{pmatrix} \begin{pmatrix} c_{h\uparrow} \\ c_{-h\downarrow}^+ \end{pmatrix}$$

Note  $\alpha_{h\uparrow}^+ = \cos \theta_k c_{h\uparrow}^+ + \sin \theta_k c_{-h\downarrow}$ , comb. of a particle and hole, w/ def spin and momentum!

We need:  $\tan 2\theta_k = -\Delta / \zeta_k$ , or

$$\sin 2\theta_k = -\Delta / \lambda_k, \quad \cos 2\theta_k = \zeta_k / \lambda_k, \quad \text{with } \lambda_k = (\Delta^2 + \zeta_k^2)^{1/2},$$

and we obtain

$$H = \sum_{k\sigma} \lambda_k \alpha_{k\sigma}^+ \alpha_{k\sigma} + \sum_k (\zeta_k - \lambda_k) + \frac{L^d}{g} \Delta^2$$

The elementary excitations are created ~~are~~  $\alpha_{\mathbf{k}}^{\dagger}$  and have a minimum energy  $\Delta$ , the gap

At low  $T$ , it's hard to create excitations,

To find the GS  $|\Omega_0\rangle$ , we need a state that is annihilated by all  $\alpha_{\mathbf{k}\sigma}$ :

$$|\Omega_0\rangle = \prod_{\mathbf{k}} (\alpha_{\mathbf{k}\uparrow} \alpha_{\mathbf{k}\downarrow}) |\Omega\rangle \approx \prod_{\mathbf{k}} (\cos \theta_{\mathbf{k}} - \sin \theta_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger}) |\Omega\rangle$$

$\downarrow$   
 around  $c_{\mathbf{k}\uparrow}, c_{\mathbf{k}\downarrow}$

We can now solve for the gap self-consistently:

$$\Delta = \frac{g}{L^d} \sum_{\mathbf{k}} \langle \Omega_0 | c_{-\mathbf{k}} c_{\mathbf{k}} | \Omega_0 \rangle$$

$$\Delta = -\frac{g}{L^d} \sum_{\mathbf{k}} \sin \theta_{\mathbf{k}} \cos \theta_{\mathbf{k}} = \frac{g}{2L^d} \sum_{\mathbf{k}} \frac{\Delta}{(\Delta^2 + \xi_{\mathbf{k}}^2)^{1/2}}$$

Transform the sum to an integral (over sum  $-\omega_D$  to  $\omega_D$ ):

$$\Delta \approx \frac{g\Delta}{2} \int_{-\omega_D}^{\omega_D} \frac{V(\xi)}{(\Delta^2 + \xi^2)^{1/2}} d\xi$$

$\rightarrow$  density of states  $\sim V$  constant,  $\rightarrow$  where  $g$  is non-zero!

$$= g \Delta V \text{sinc}(\omega_D/\Delta) \text{ so:}$$

$$\Delta \approx \frac{\omega_D}{\text{sinc}(\omega_D/\Delta)} \sim 2\omega_D e^{-1/gV} \quad gV \ll 1$$

Even for small  $g$ , the value for  $\Delta g_0$  can be big if  $\nu$ , the density of states is high.

Also, the form of the gap is non-perturbative in  $g^2$ .

Note: G.S. energy w/  $g > 0$ , is lower than the G.S. energy of system w/  $g = 0$ . (Free fermions).